

# **KM3NeT: UHE neutrinos & more**

Piotr Kalaczyński

Work supported by:



AGH UNIVERSITY  
OF KRAKOW



**CEAI**  
Center of Excellence in Artificial Intelligence



Republic  
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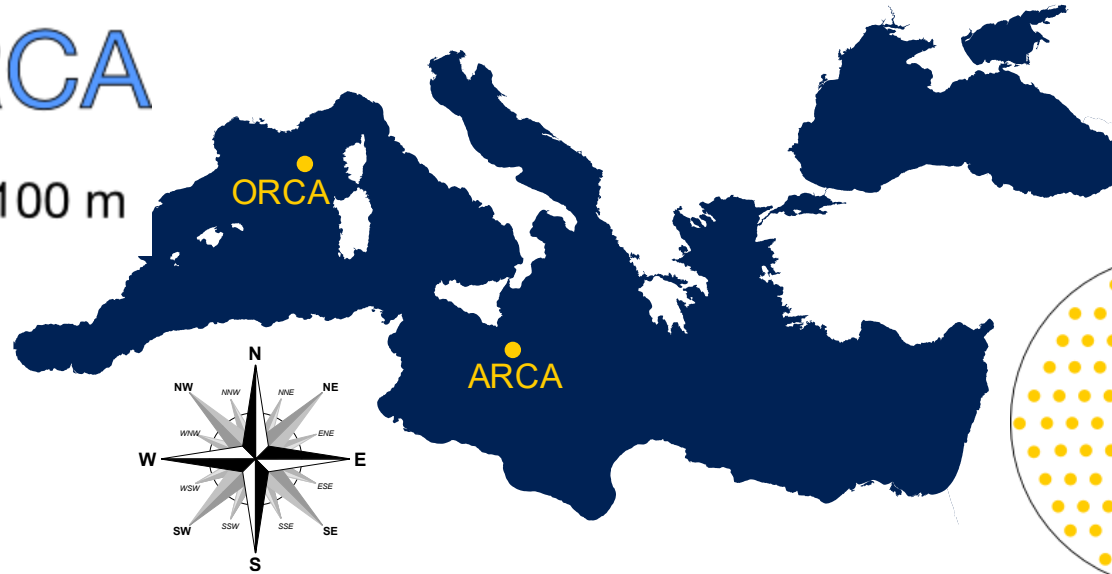


Foundation for  
Polish Science

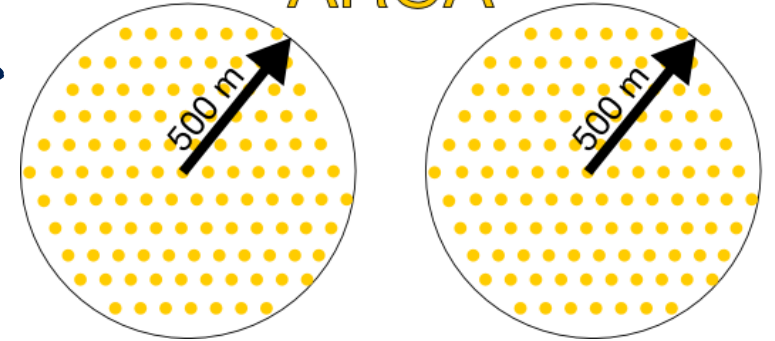
European Union  
European Regional  
Development Fund



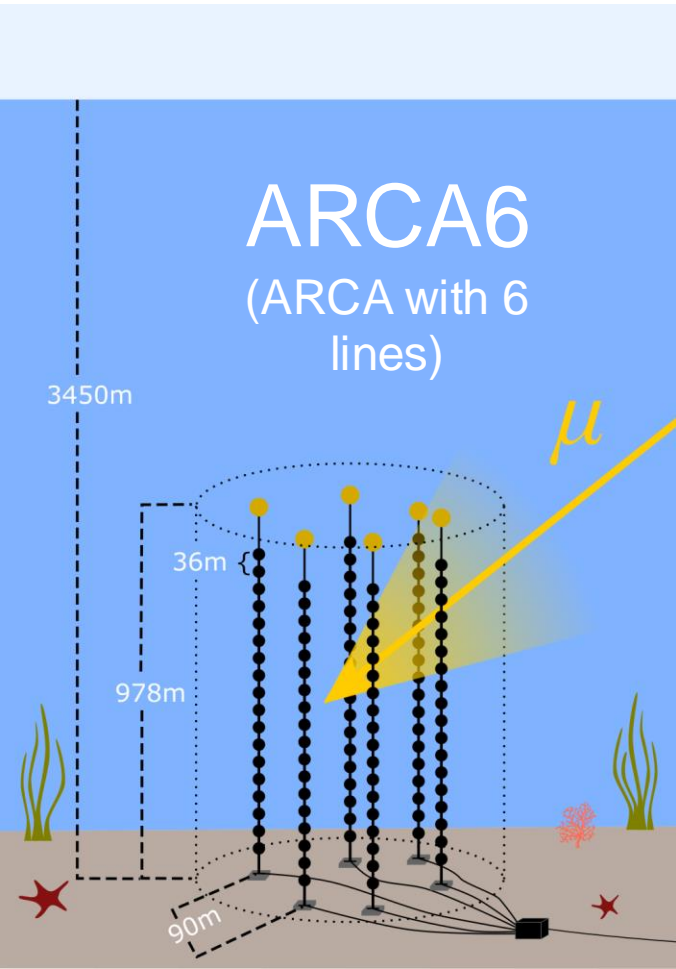
## ORCA



## ARCA



## ARCA6 (ARCA with 6 lines)



Detector	ARCA	ORCA
Depth	3.5 km	2.45 km
Volume	1 km <sup>3</sup> (1Gton)	0.007 km <sup>3</sup> (7Mton)
# lines	28 / 2x115	24 / 115
Topic	Astroparticle RCA*	Oscillation RCA*
Goal	$\nu_{\text{astro}}$	$m_\nu$ hierarchy

\*RCA : Research with Cosmics in the Abyss



Nicolaus Copernicus  
Astronomical Center  
Polish Academy of Sciences



AGH UNIVERSITY  
OF KRAKOW

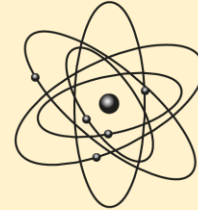
AGH

**ASTROCENT**

Particle Astrophysics Science  
and Technology Centre  
International Research Agenda

- ❖ me 😊
- ❖ Mariusz Suchenek

**WFIS**



AGH

WFIS:

- ❖ Artur Ukleja
- ❖ Tomasz Szumlak
- ❖ Agnieszka Obłąkowska-Mucha
- ❖ Kalyani Mehta (PhD student)
- ❖ Amine Meskar (PhD student)
- ❖ Wiktoria Szewczyk (MSc student)



**CEAI**

Center of Excellence in Artificial Intelligence

- ❖ me 😊

## Grants:

Under evaluation: OPUS (NCN)  
Next planned: MNiSW grant

[nature](#) > [news](#) > article

NEWS | 21 June 2024

## ‘Fantastic’ particle could be most energetic neutrino ever detected

**The ultra-high-energy neutrino was spotted by deep-sea detectors and could point to a massive cosmic event.**

By [Davide Castelvecchi](#)



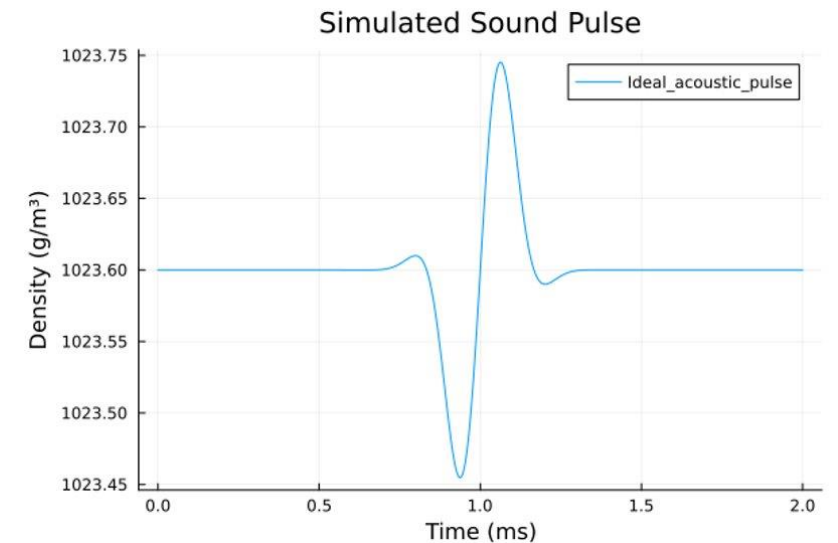
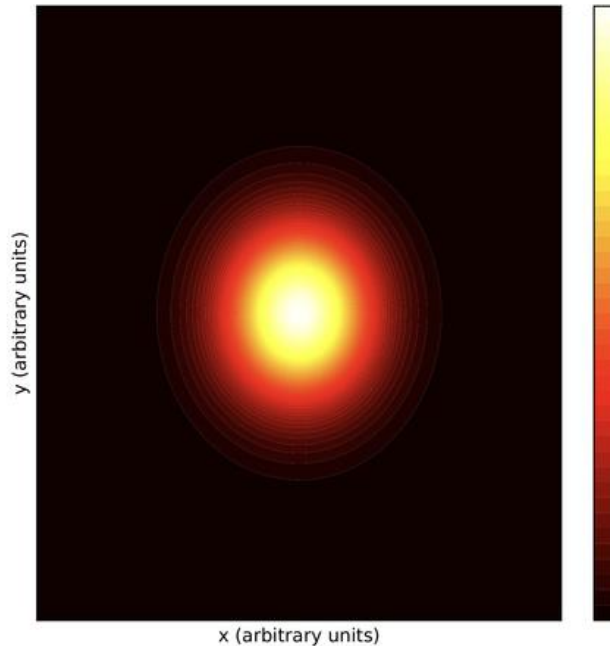
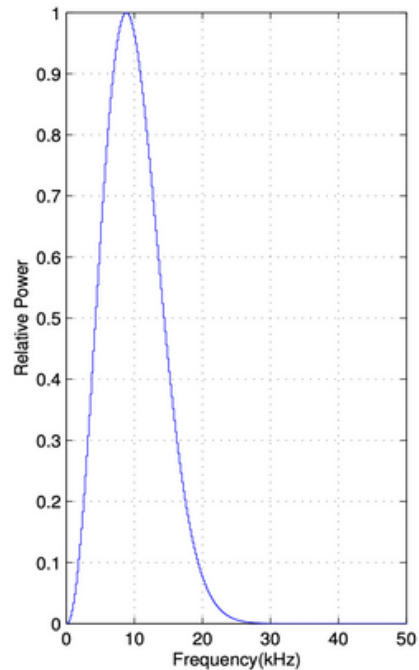
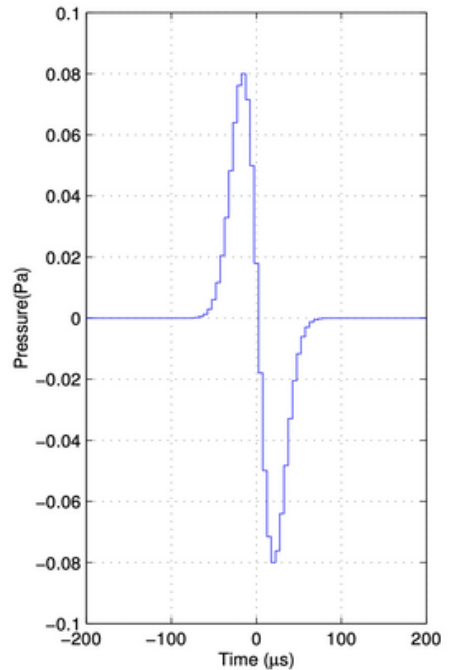
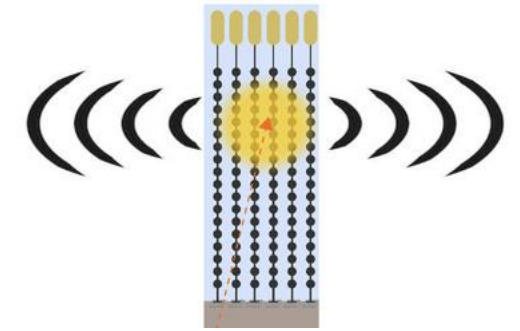
An observatory still under construction at the bottom of the Mediterranean Sea has spotted what could be the most energetic neutrino ever detected. Such ultra-high-energy neutrinos – tiny subatomic particles that travel at nearly the speed of light – have been known to exist for only a decade or so, and are thought to be messengers from some of the Universe’s most

Paper to be published in Nature on  
12 February

stay tuned ...

## Our focus:

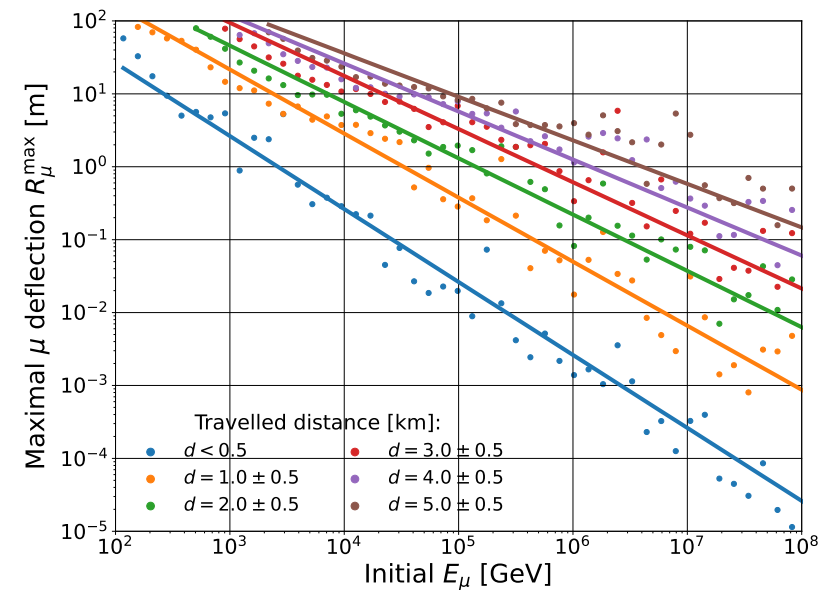
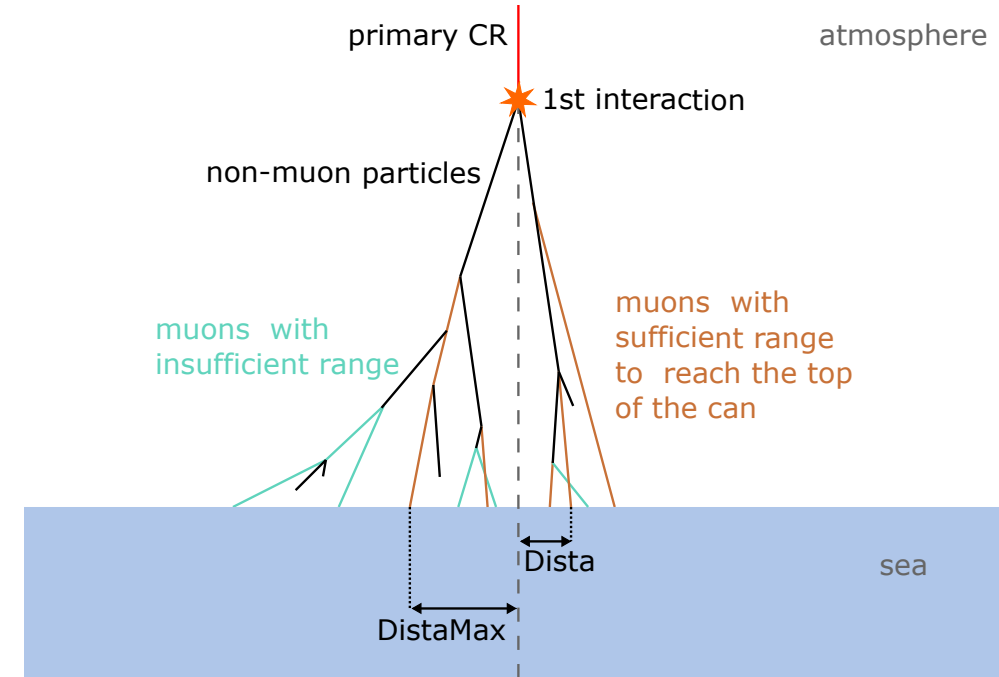
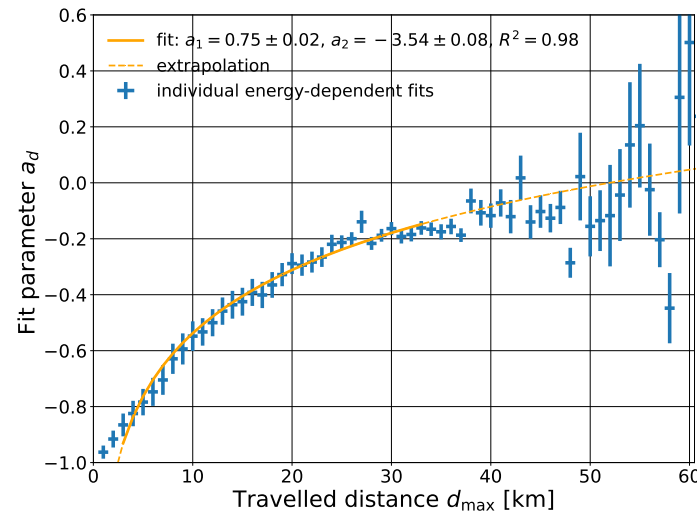
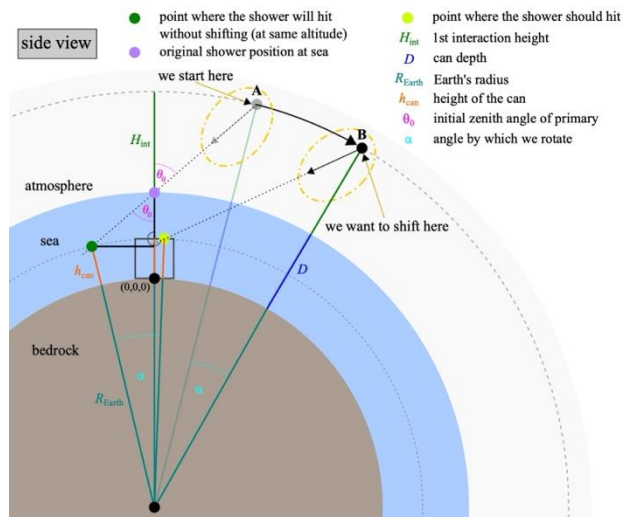
- ❖ Software development & maintenance:
  - new acoustic simulation code: SUNSET [Julia]
    - Acoustic calibration
    - Sound emission by UHE neutrino events



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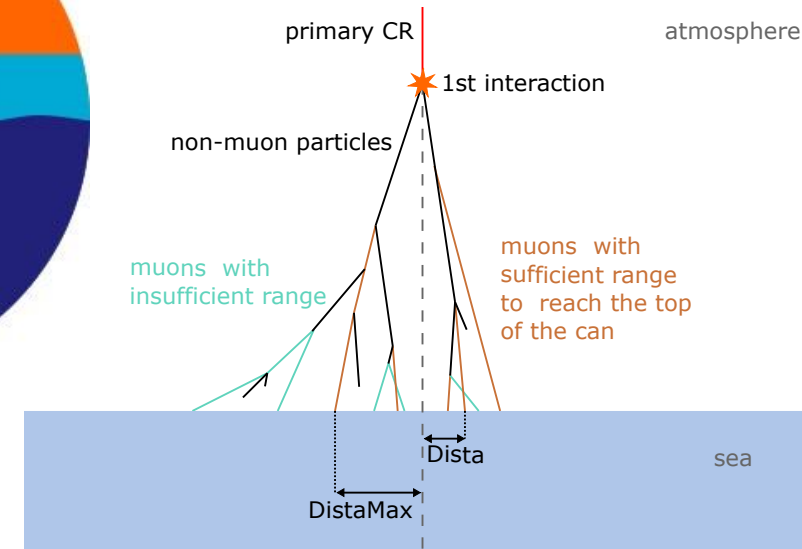
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    - GENIE-based neutrino events generator
    - Processing of muons simulated with CORSIKA
- [Paper](#) in CPC under review



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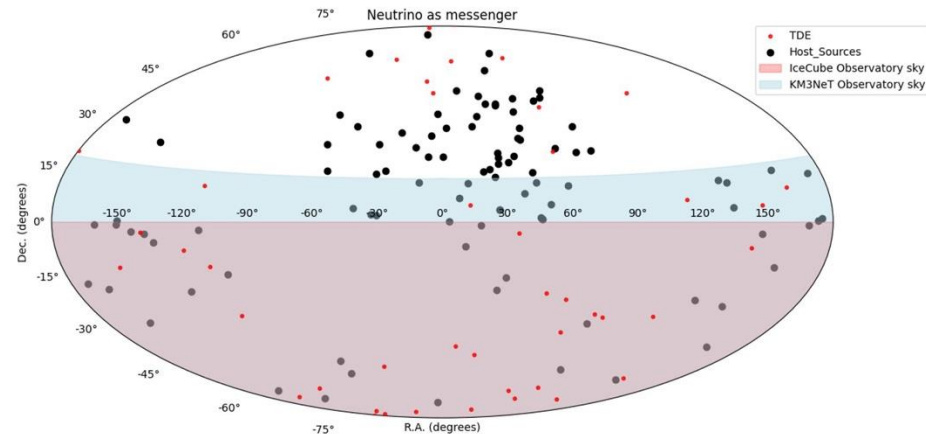
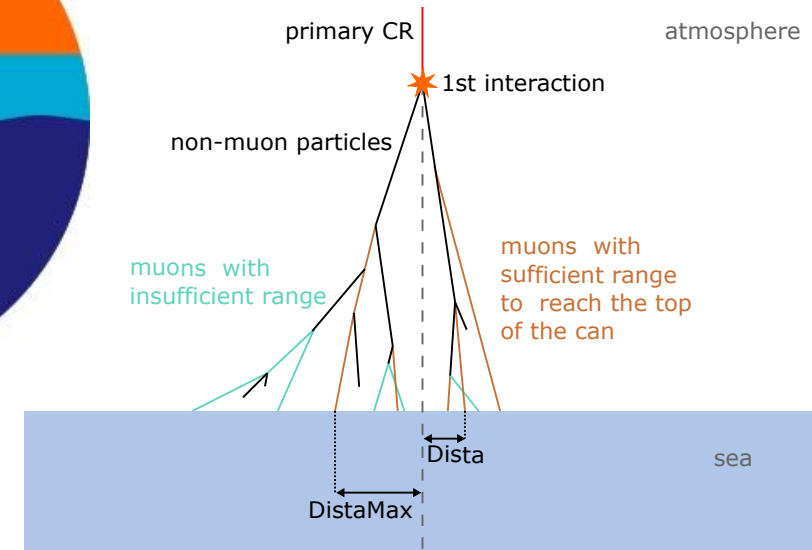
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  - Using optical and/or acoustic data
  - Using ML & DL





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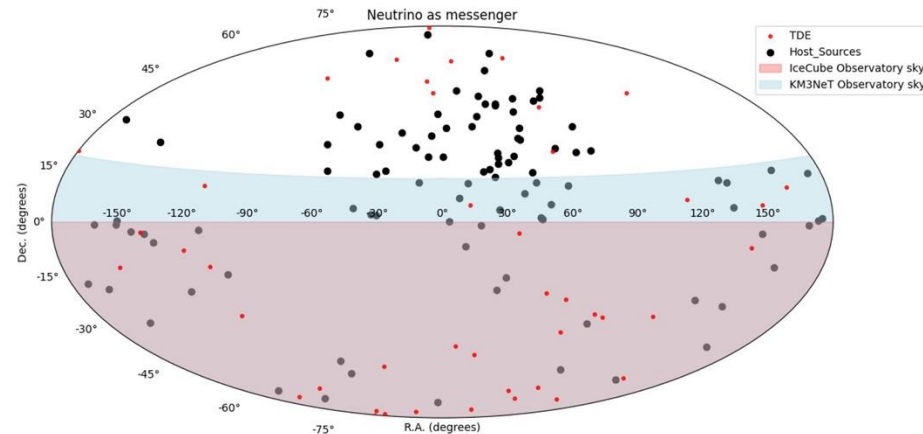
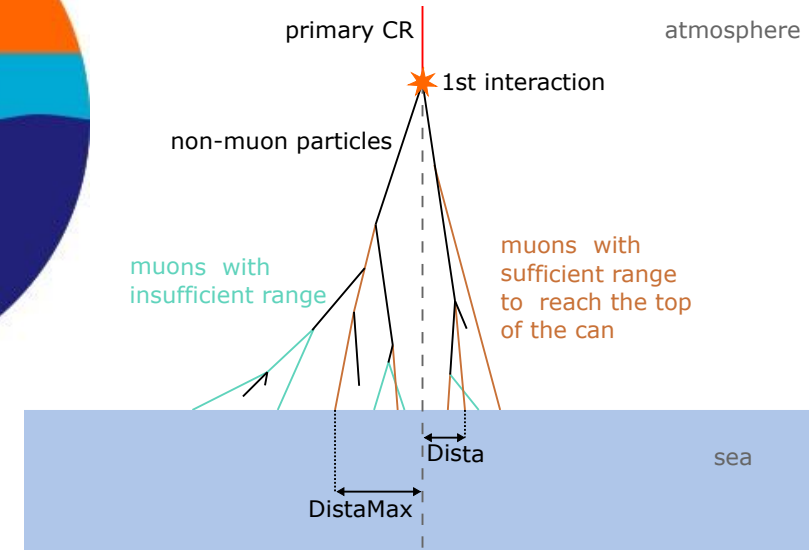
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  - new acoustic simulation code: SUNSET [Julia]
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- ❖ Neutrino energy & direction reconstruction
  - Using optical and/or acoustic data
  - Using ML & DL
- ❖ Study of TDEs with neutrinos
- ❖ Muon bundle reconstruction
- ❖ Prompt muon sensitivity study
- ❖ ...





## TL&DR:

- ❖ KM3NeT grows & already collects valuable data
- ❖ Reliable simulations necessary
- ❖ Big potential for neutrino astronomy (and beyond)
- ❖ Stay tuned for more exciting results! 😊

Nature Paper – 12. Feb!



**Thank you for your attention!**



# Backup

DOM:  
71 unique components



[DOM production: \(@Nikhef\)](#)



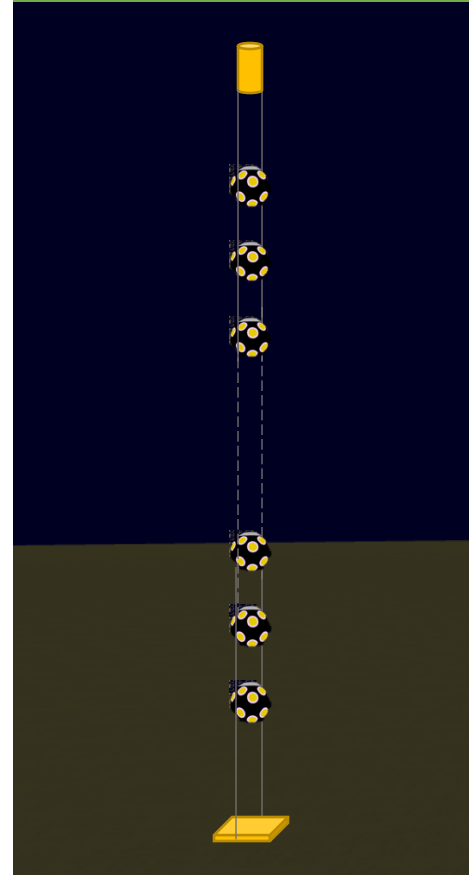
1 DOM:  
31 PMTs



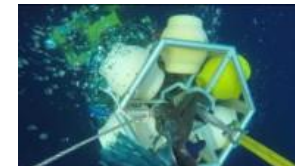
[Preparation for deployment:](#)



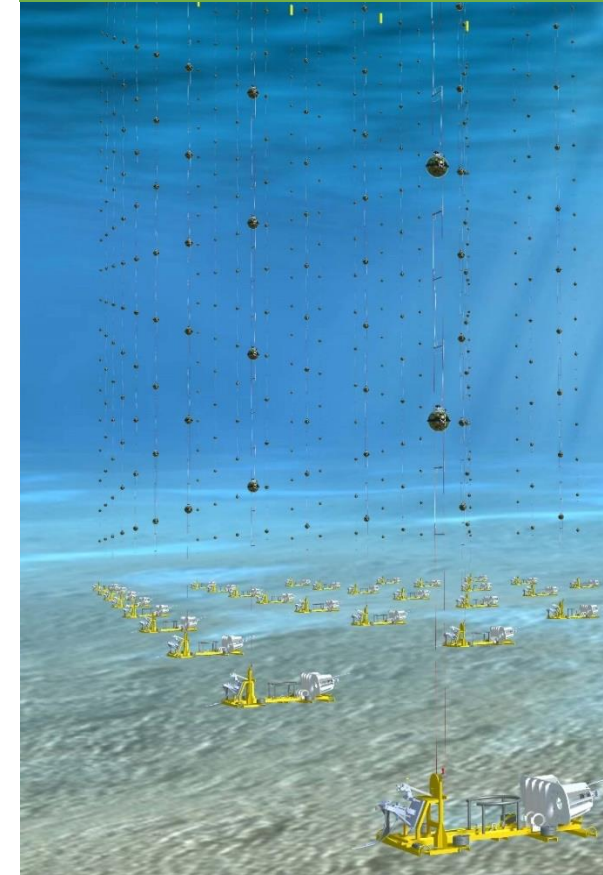
1 string (DU):  
18 DOMs



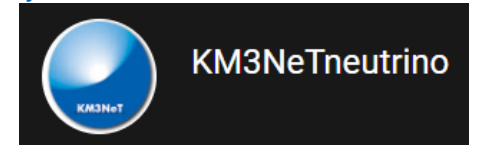
[String deployment:](#)



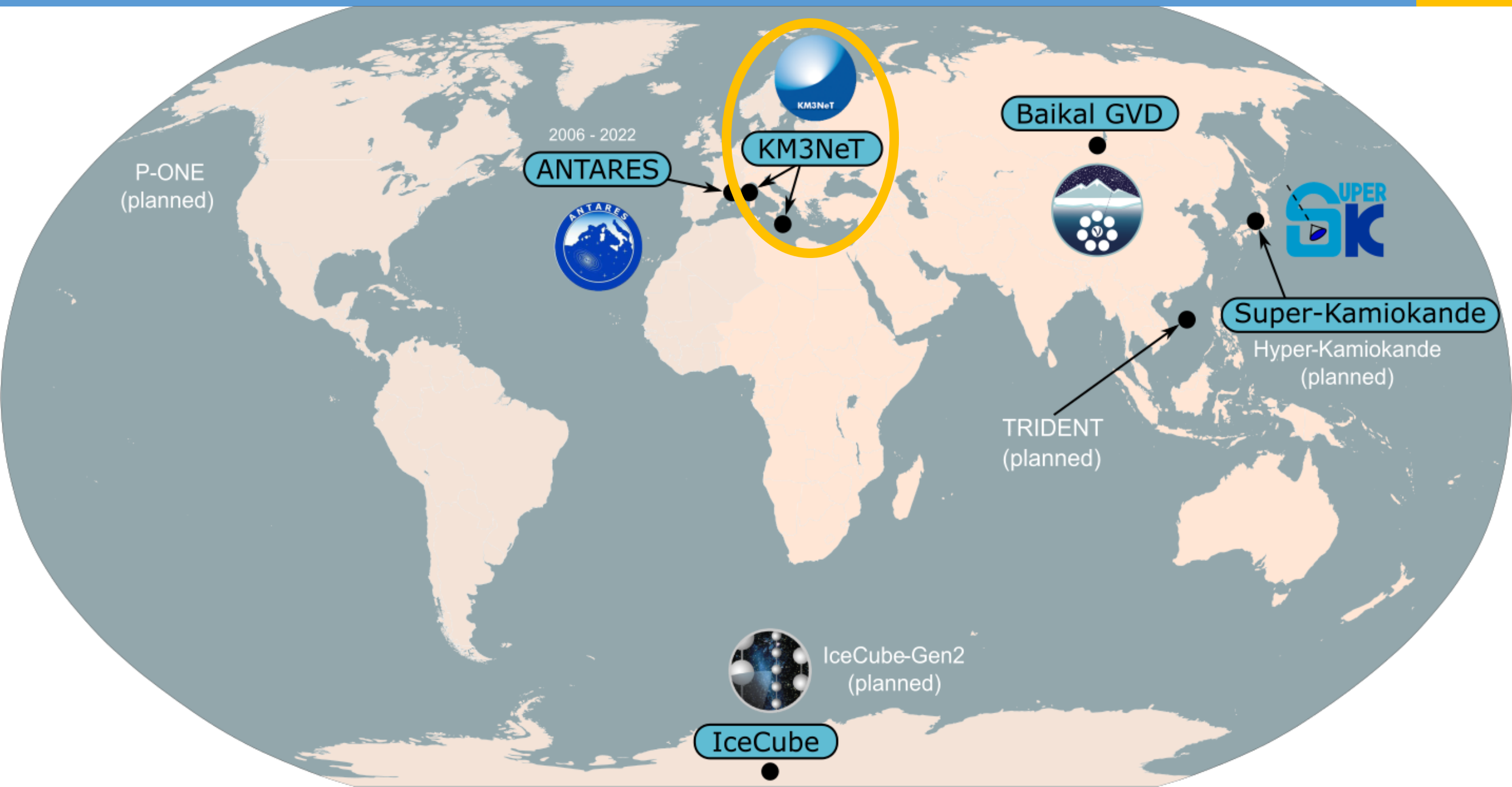
1 building block:  
115 DUs

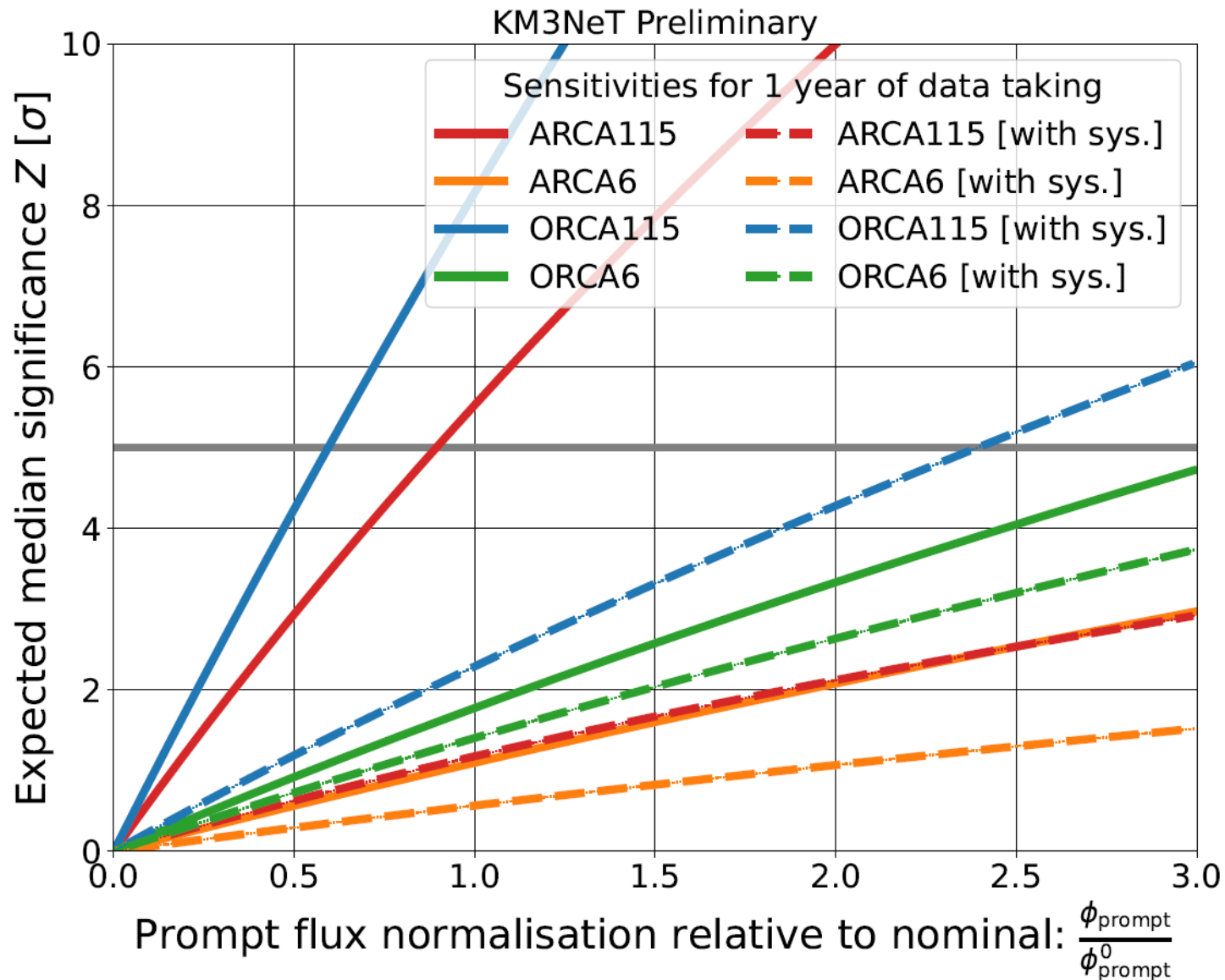


More at:  
[youtube.com/KM3NeTneutrino](https://youtube.com/KM3NeTneutrino)









Comments:

- ❖ prompt flux normalisation has a linear effect on sensitivity
- ❖ still, systematics are the dominant issue

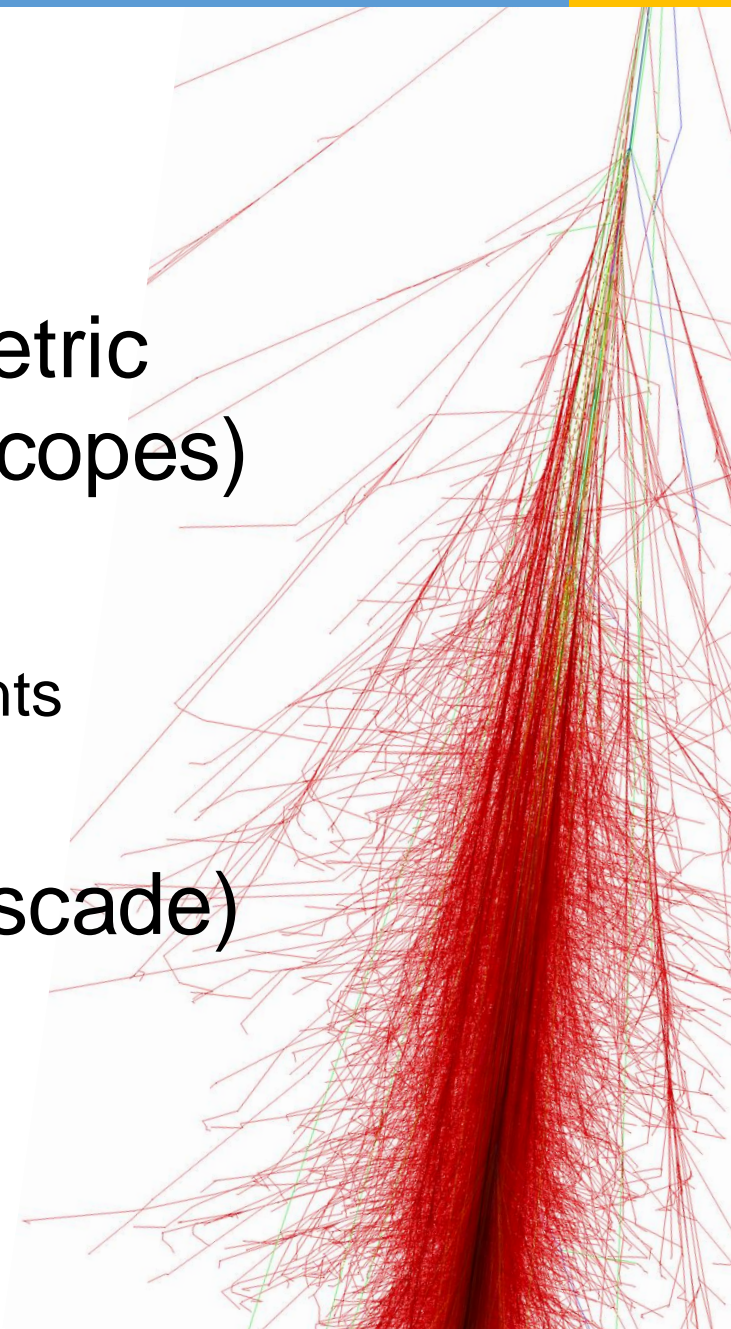
We have 2 options:

1. [MUPAGE](#) (atmospheric **MU**ons from **PA**rametric formulas: a fast **GE**nerator for neutrino telescopes)

- developed for ANTARES
- fast muon MC generator
- based on parametric formulas and MACRO measurements
- parameters can be freely tuned

2. [CORSIKA](#) (**CO**smic **R**ay **SI**mulations for **KA**scade)

- developed for KASCADE
- full simulation of air showers
- customizable (models, primaries, etc.)





## Digital Optical Module (DOM)

acrylic glass sphere with:

- 31 3" PMTs,
- readout electronics,
- pressure gauge,
- acoustic sensors,
- ...

2022 JINST 17 P0703

JATIS 7(1), 016001 (2021)

## Photomultiplier Tube (PMT)

converts light into electric signal

JINST13 (2018) P05035





Detection Unit (DU):  
vertical string with 18 DOMs

Eur. Phys. J. C 76 (2016) 76:54

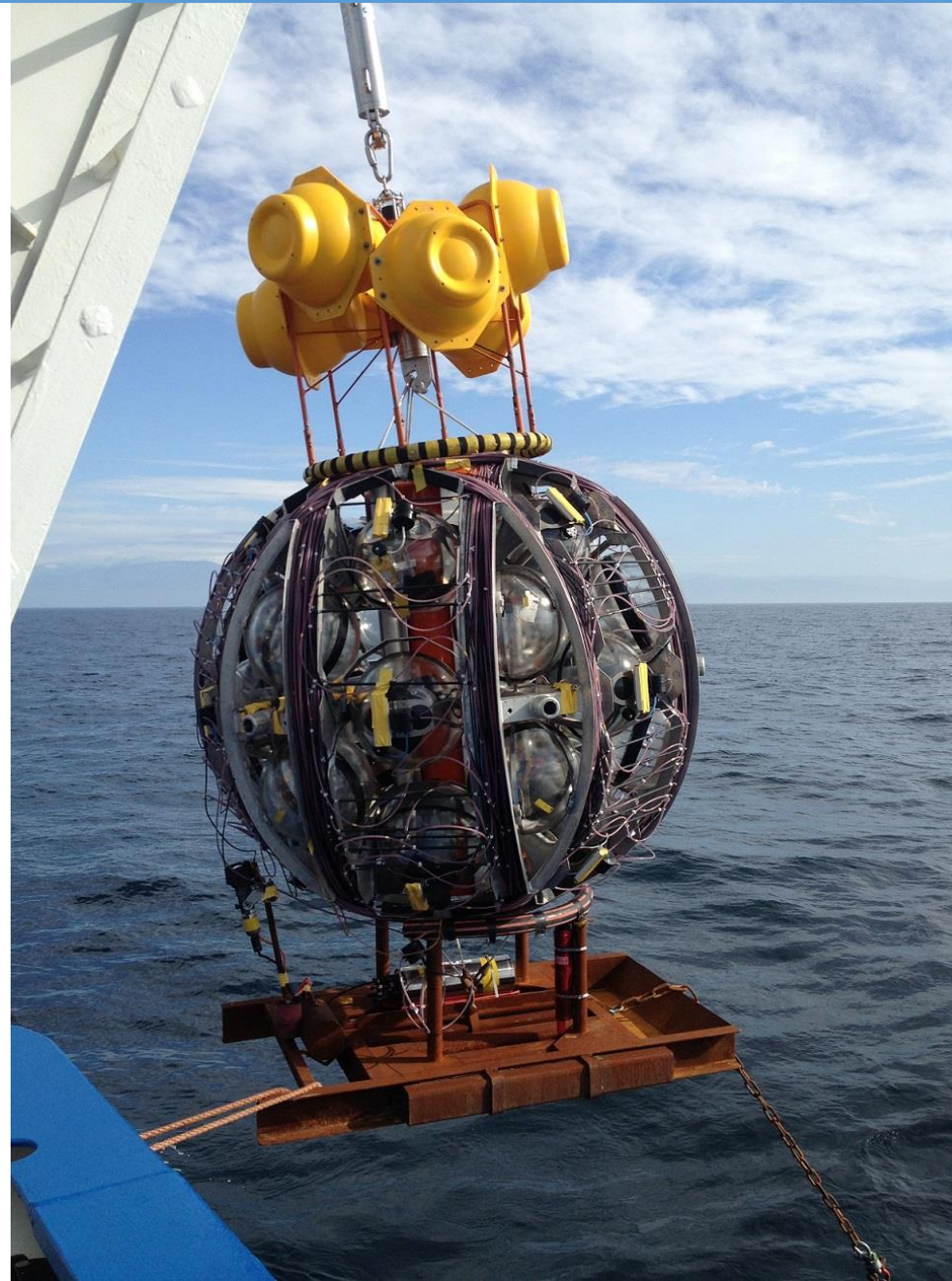
Naming:

ORCA6 ↔ ORCA with 6 strings

ARCA2 ↔ ARCA with 2 strings

etc.

2020 JINST 15 P11027



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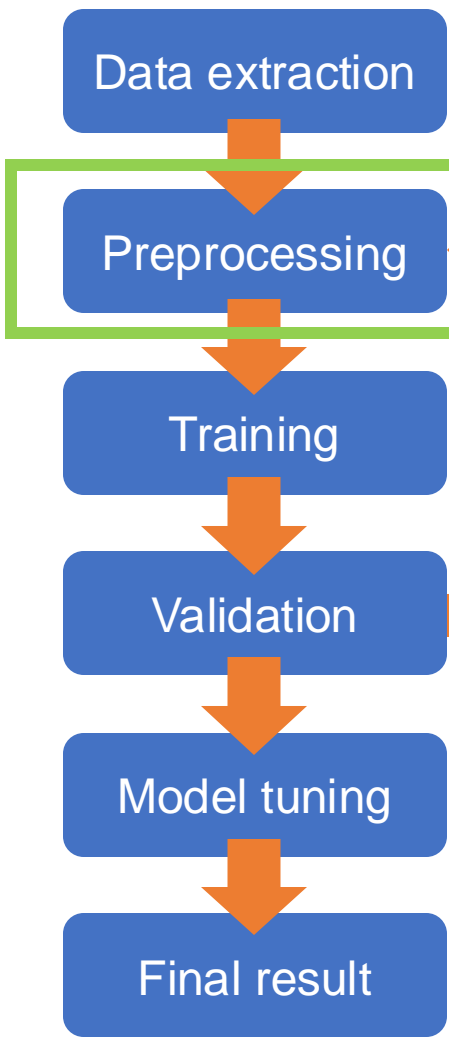
etc.

2020 JINST 15 P11027





## Workflow of the reconstruction:



feature/  
model/  
target/  
method/  
selection

**Read data** features weights targets

**Scale weights** (in case the training performs better with scaled weights; depends on the model and target)

**Scale features**

$$x_{\text{scaled}} = \frac{(x - x_{\text{mean}})}{x_{\text{std}}} \quad \text{one common scaling}$$

```

36 from sklearn.preprocessing import StandardScaler
37 scaler = StandardScaler()
38 scaler.fit(x_train)
39 # save the scaler:
40 dump(scaler, DIR+VER+'_common_scaler.joblib')
41 print(DIR+VER+'_common_scaler.joblib')
  
```

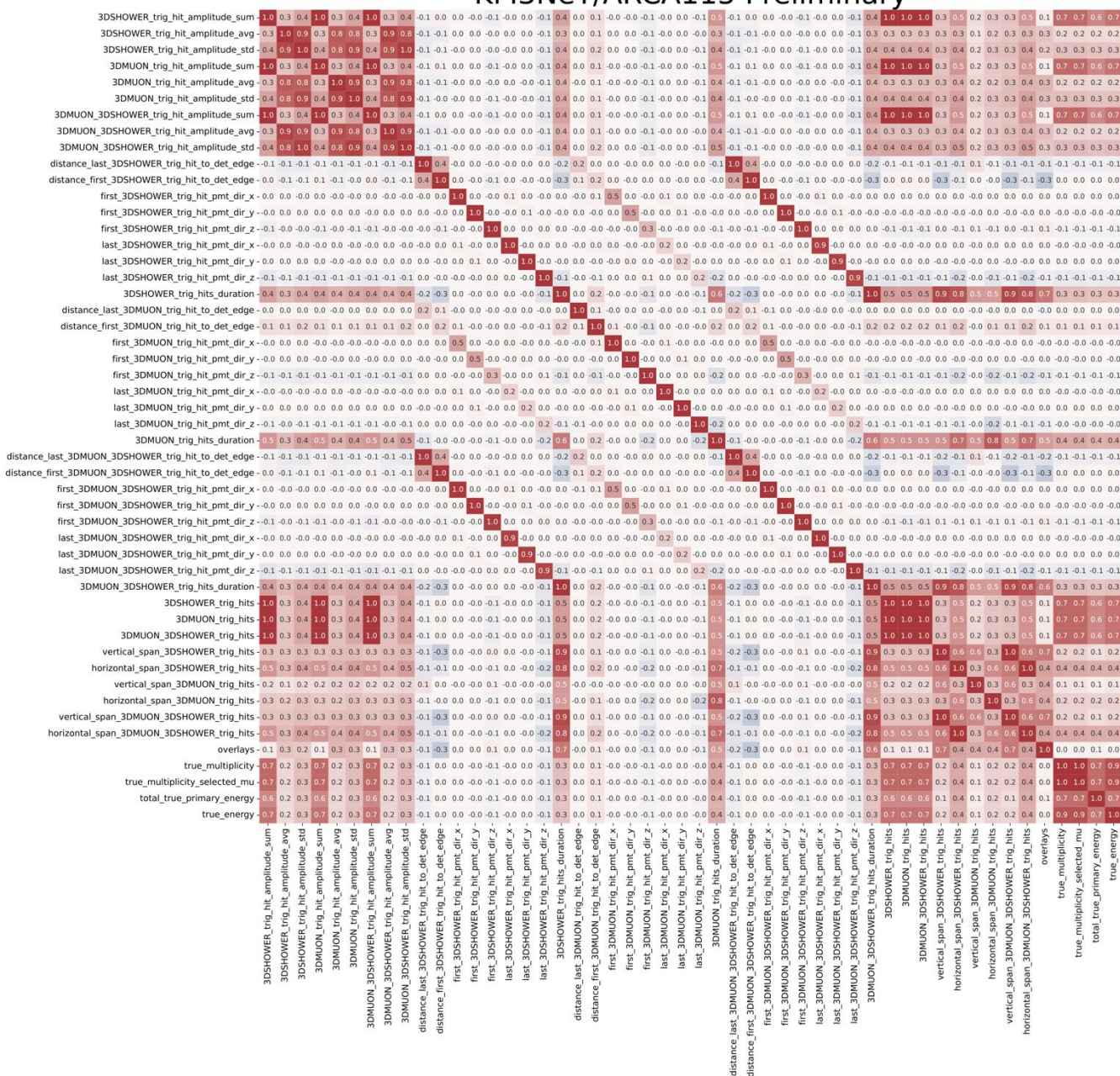
64% 16% 20%

```

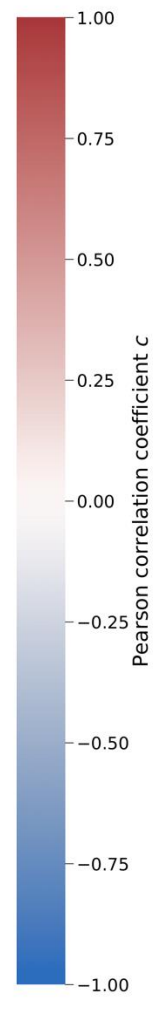
18 from sklearn.model_selection import train_test_split
19 x_train, x_test, y_train, y_test = train_test_split(
20     data[COLS+WEIGHTS],
21     LABELS,
22     test_size=0.2, random_state=131071, shuffle=True,
23     stratify=LABELS
24 )
  
```

**Train data** **Validation data** **Test data**

## KM3NeT/ARCA115 Preliminary

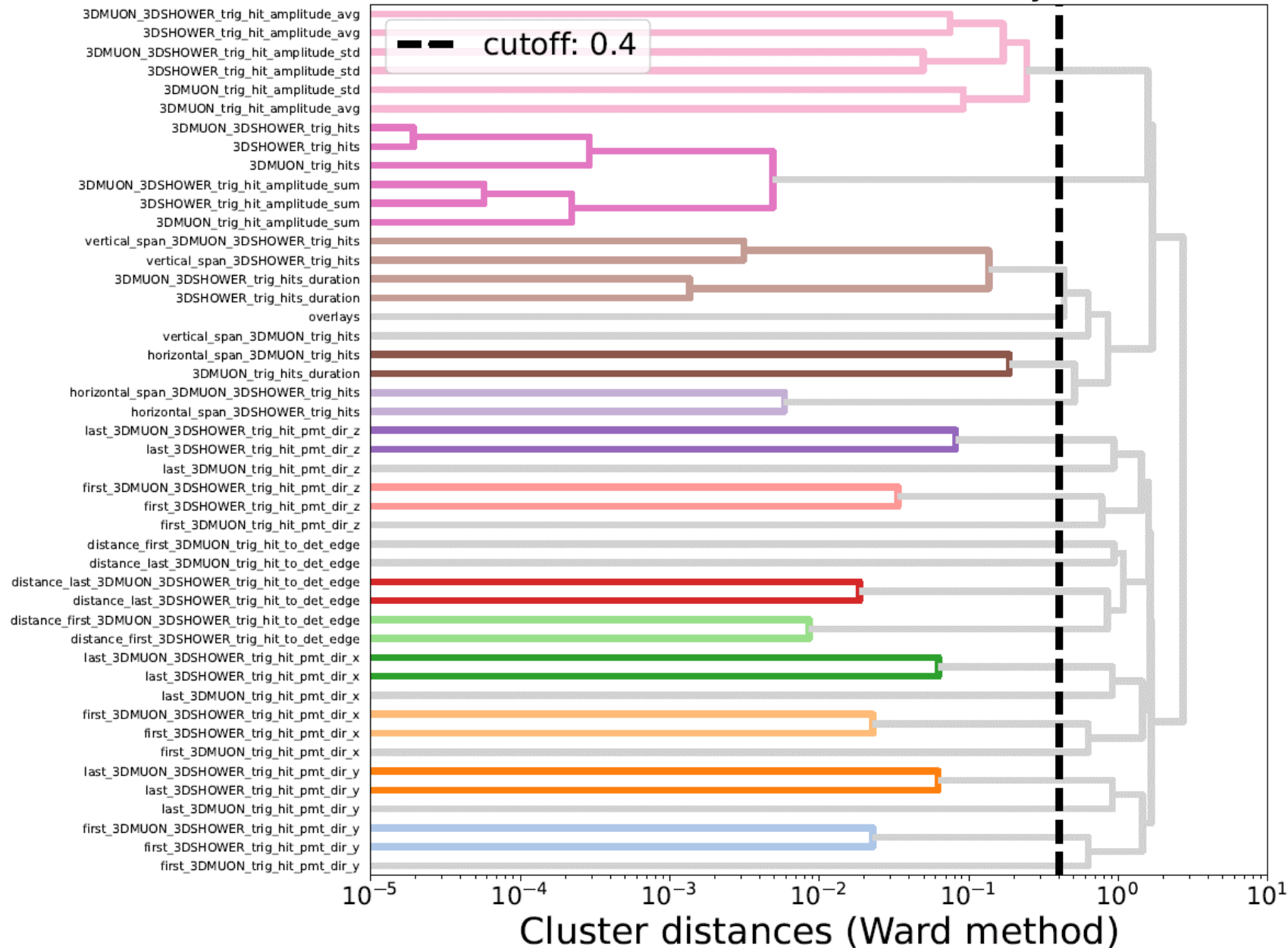


In total: 46 features (+4 targets)



Example for ARCA115 (the same was done for ARCA6, ORCA115 and ORCA6)

KM3NeT/ARCA115 Preliminary

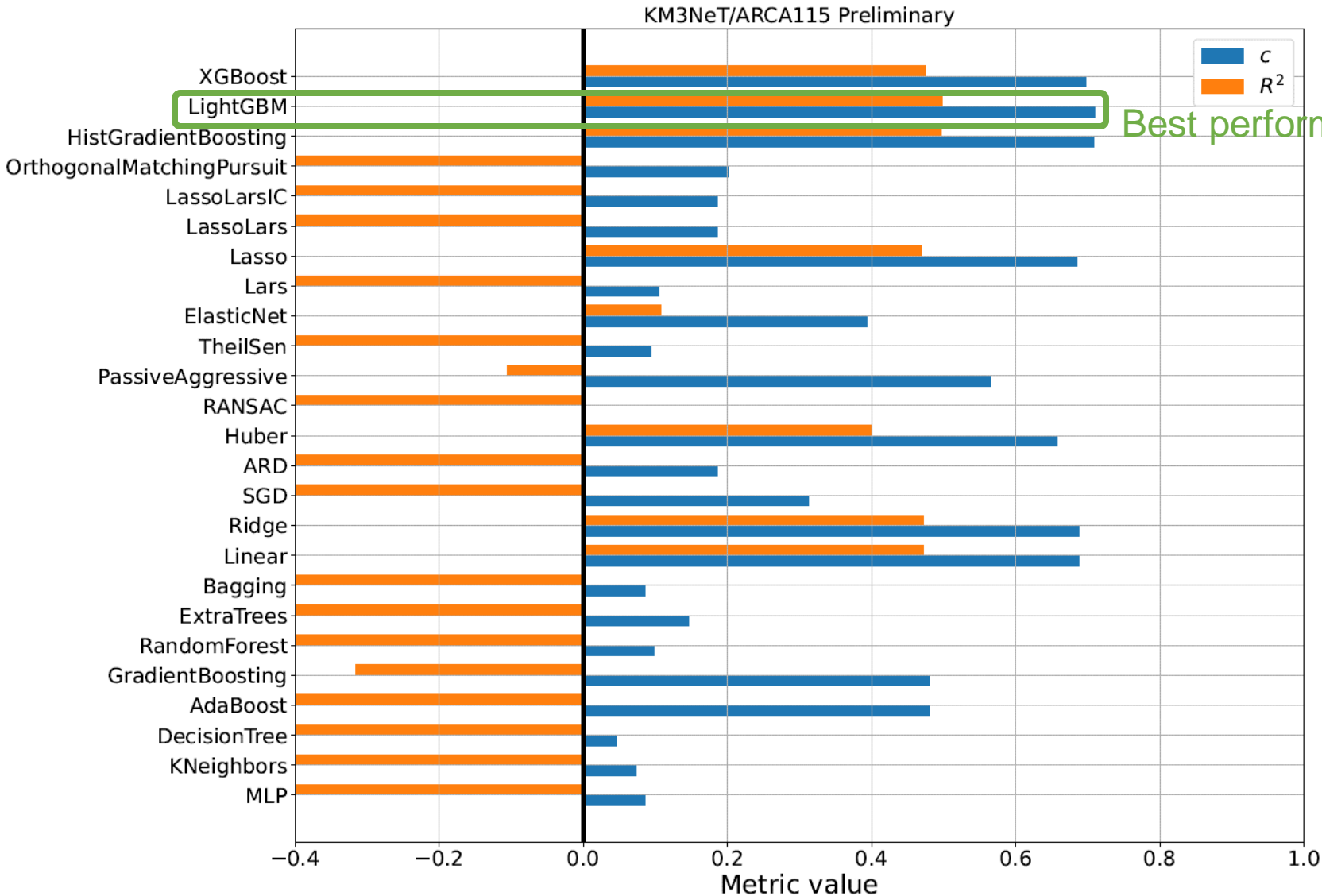


Cluster distance cutoff is arbitrary

Clusters are marked by different colors

Example for ARCA115  
(the same was done for ARCA6,  
ORCA115 and ORCA6)

Performance comparison on a fraction (50k events) of the training dataset:



Best performance

The (weighted) Pearson correlation coefficient:

$$c(x, y) = \frac{\sum_i w_i (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_i w_i (x_i - \bar{x})^2 \sum_i w_i (y_i - \bar{y})^2}}$$

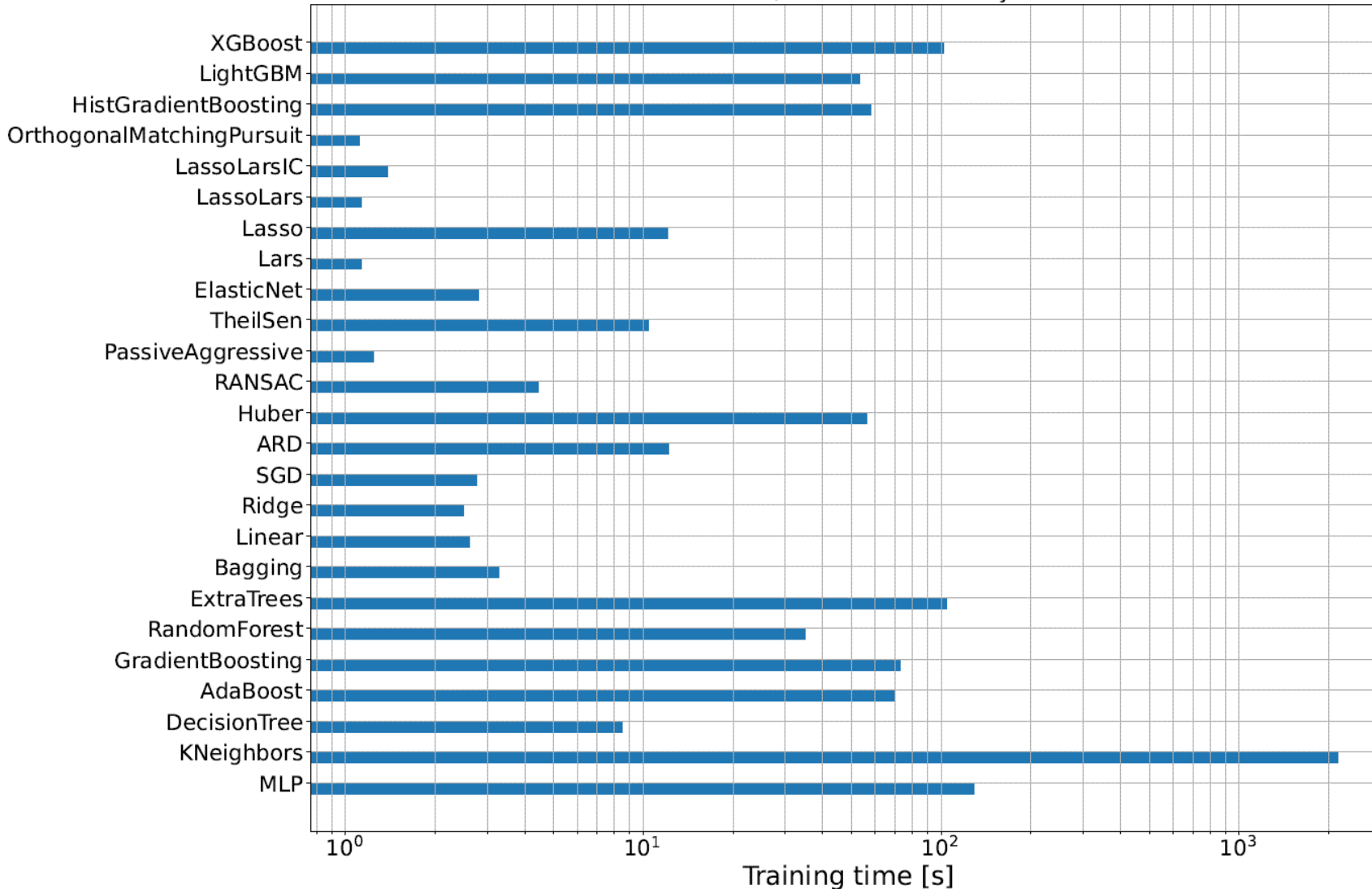
The (weighted) R2-score, called the coefficient of determination:

$$R^2(y_{\text{true}}, y_{\text{pred}}) = 1 - \frac{\sum_i w_i (y_{\text{true}} - y_{\text{pred}})^2}{\sum_i w_i (y_{\text{true}} - \bar{y})^2}$$



Speed comparison on a fraction (50k events) of the training dataset:

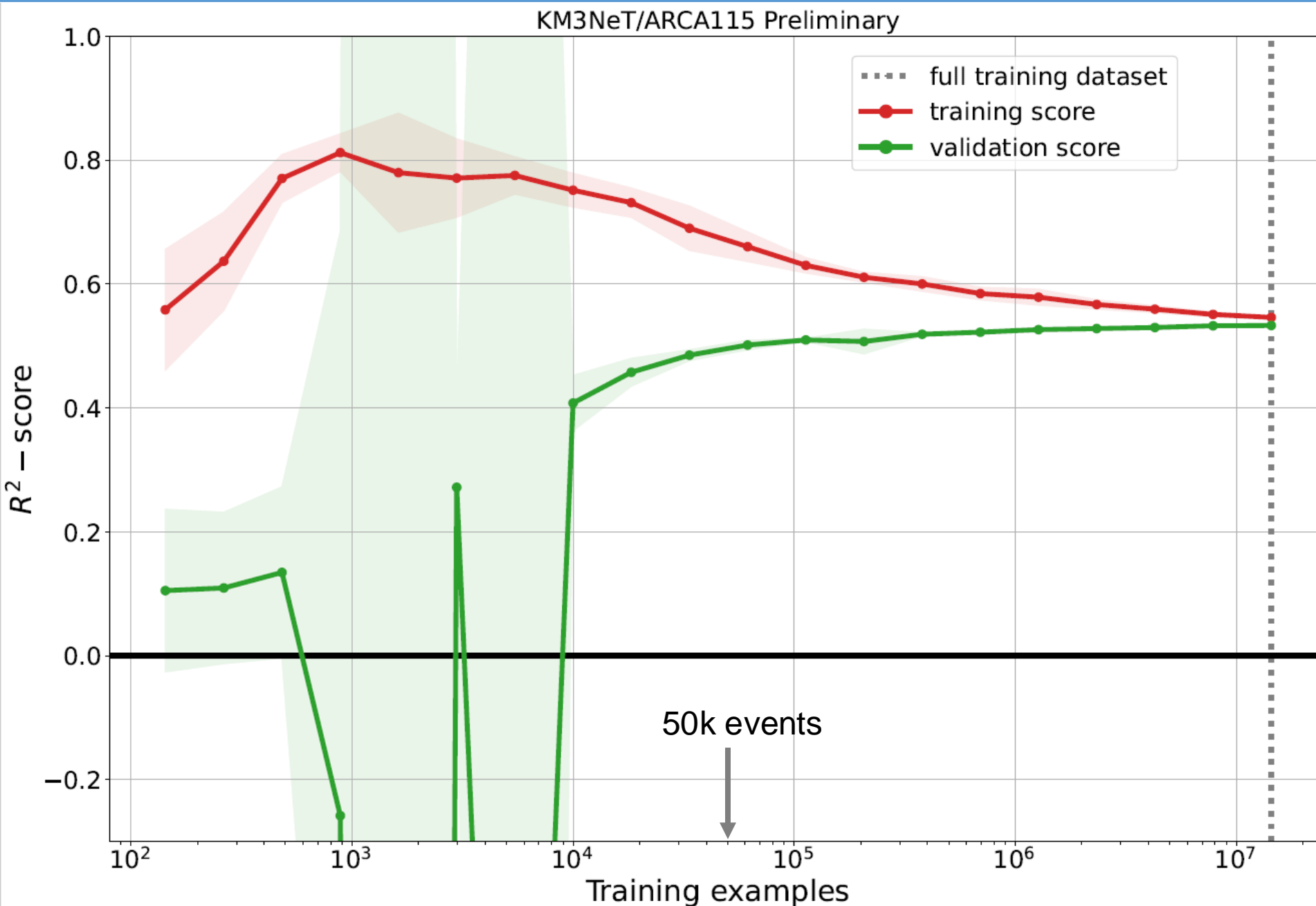
KM3NeT/ARCA115 Preliminary



LightGBM:

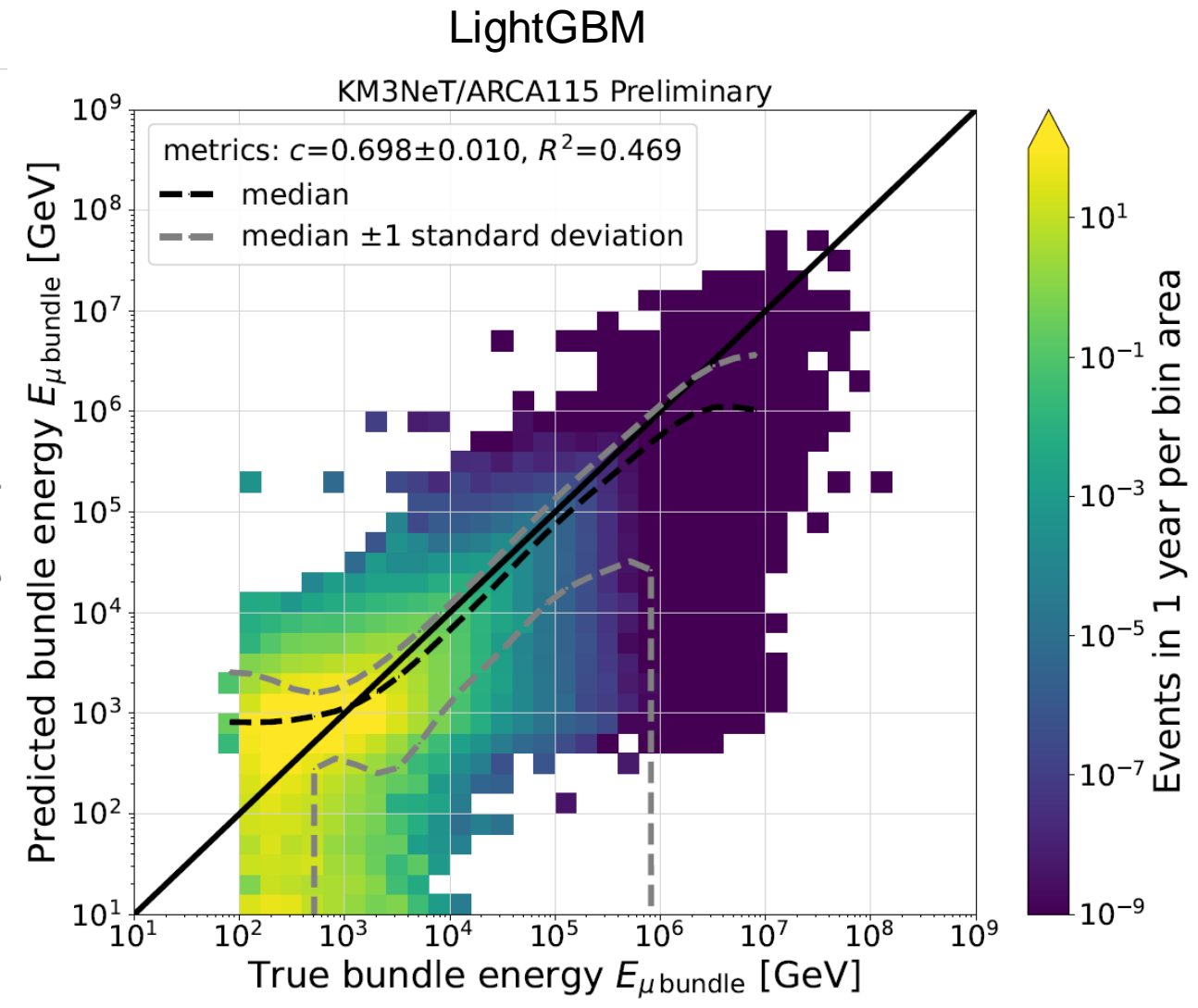
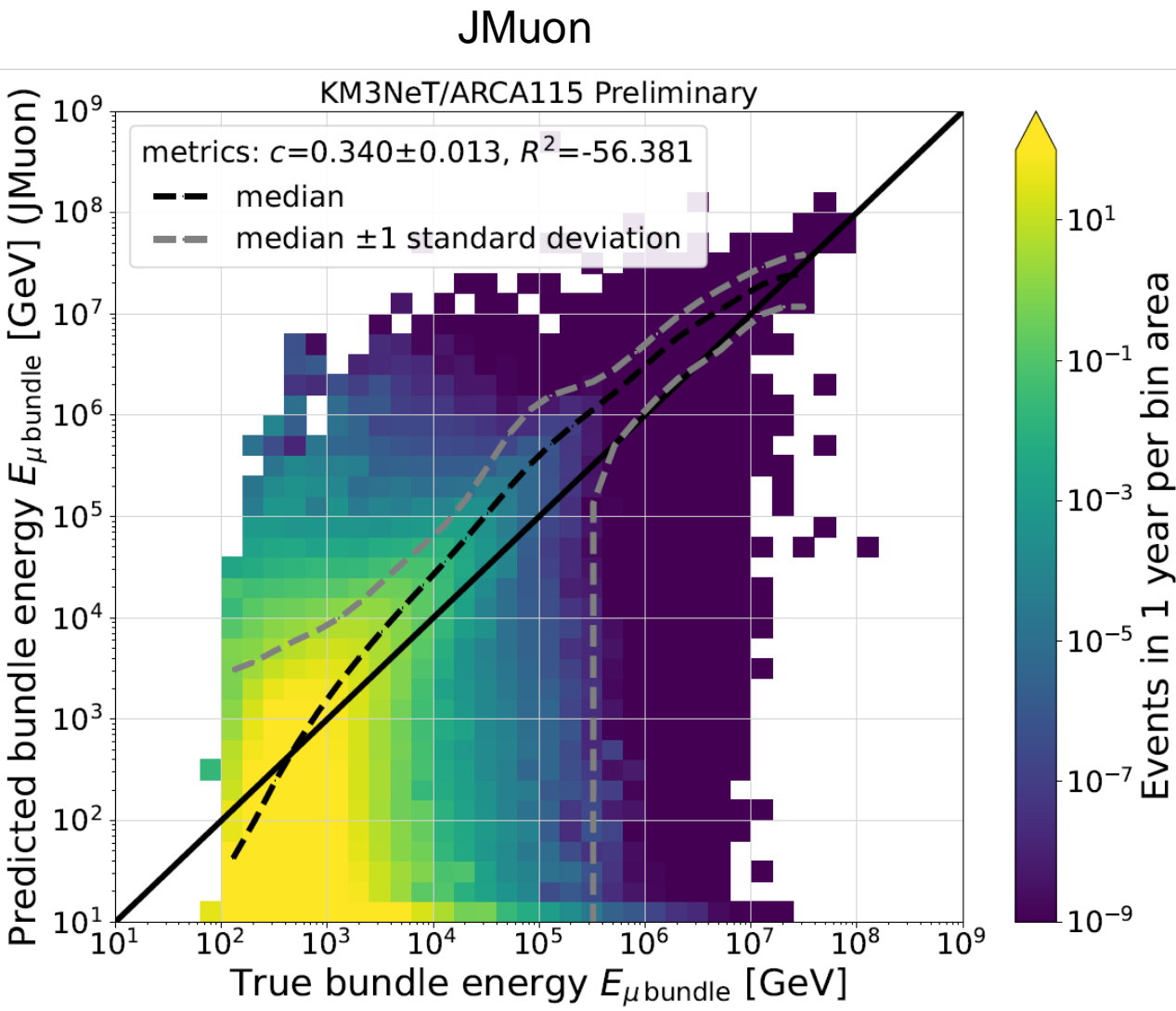
- ❖ not the fastest, but still very decent
- ❖ + it turned out to scale up very well (entire dataset is orders of magnitude larger)

These times were obtained running with 20 CPU cores in parallel

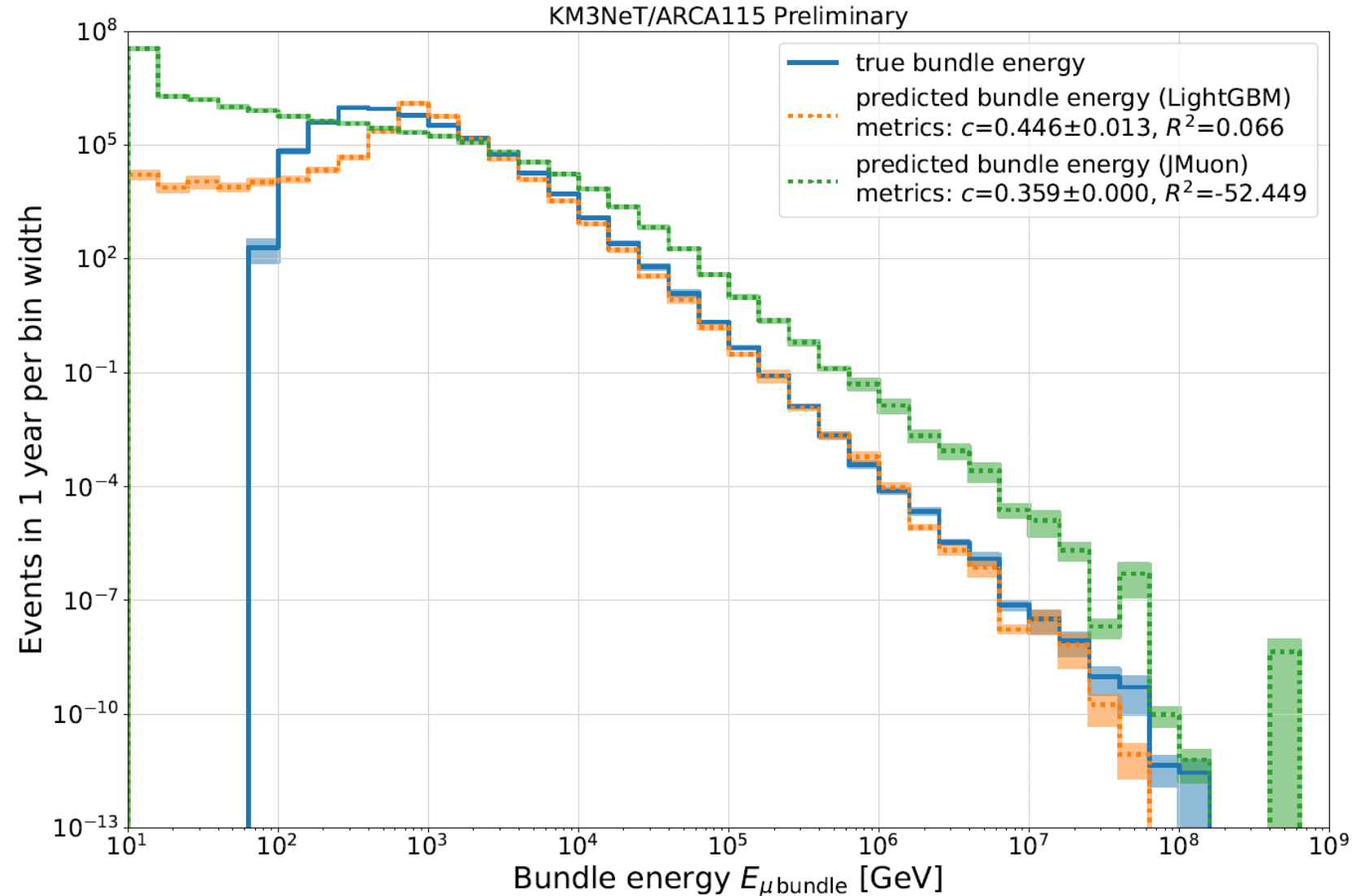


Here we see why 50k events were fine for testing (but e.g. 5k would not be)

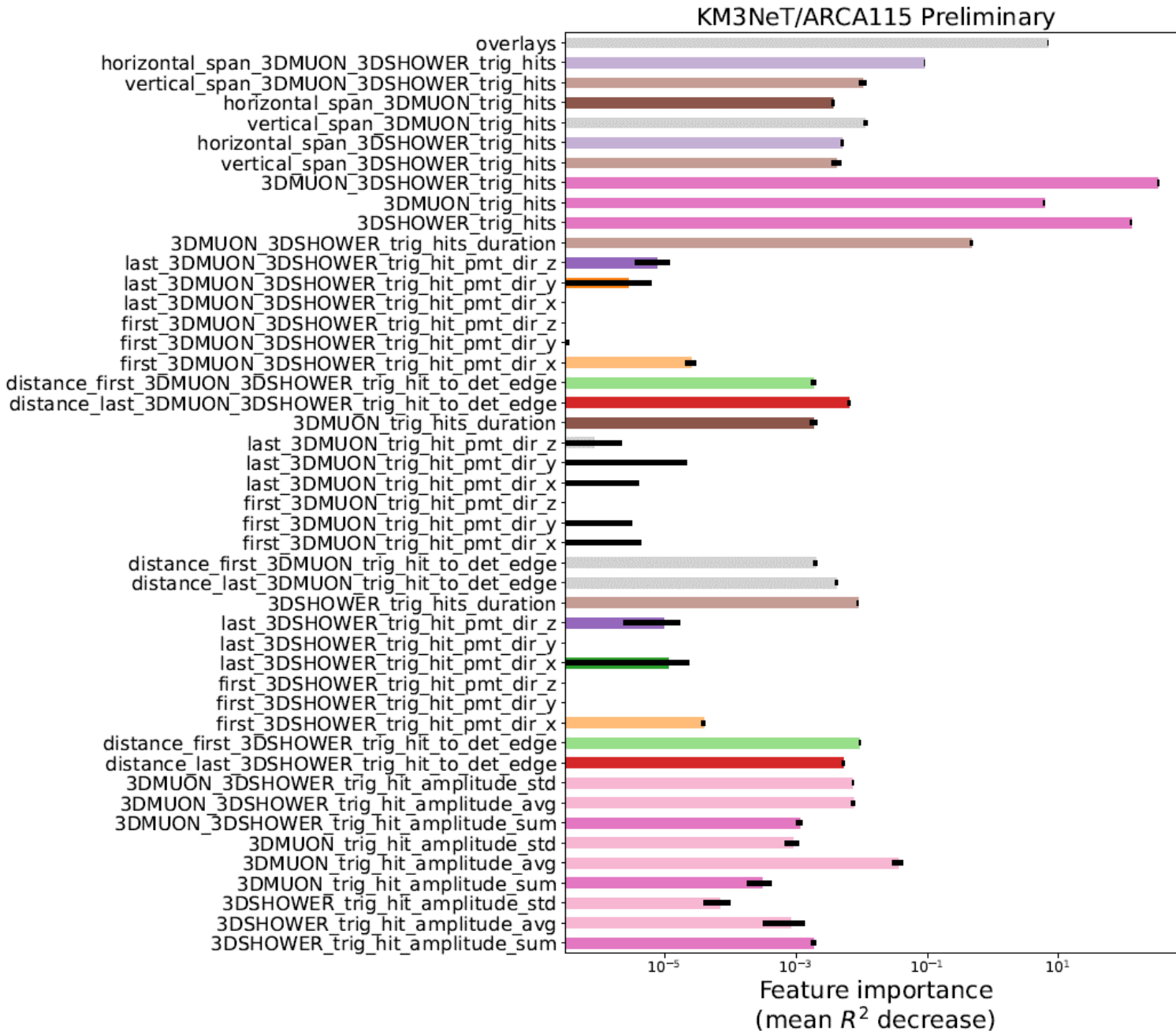
Here I just compare LightGBM (no tuning whatsoever) and JMuon reco (non-ML reco)



## Comparison in 1D:



Clearly even untuned ML approach reproduces the distribution much closer



Colors here are not random!

They match the feature clustering

The idea:

Try to select only the most important feature in each cluster

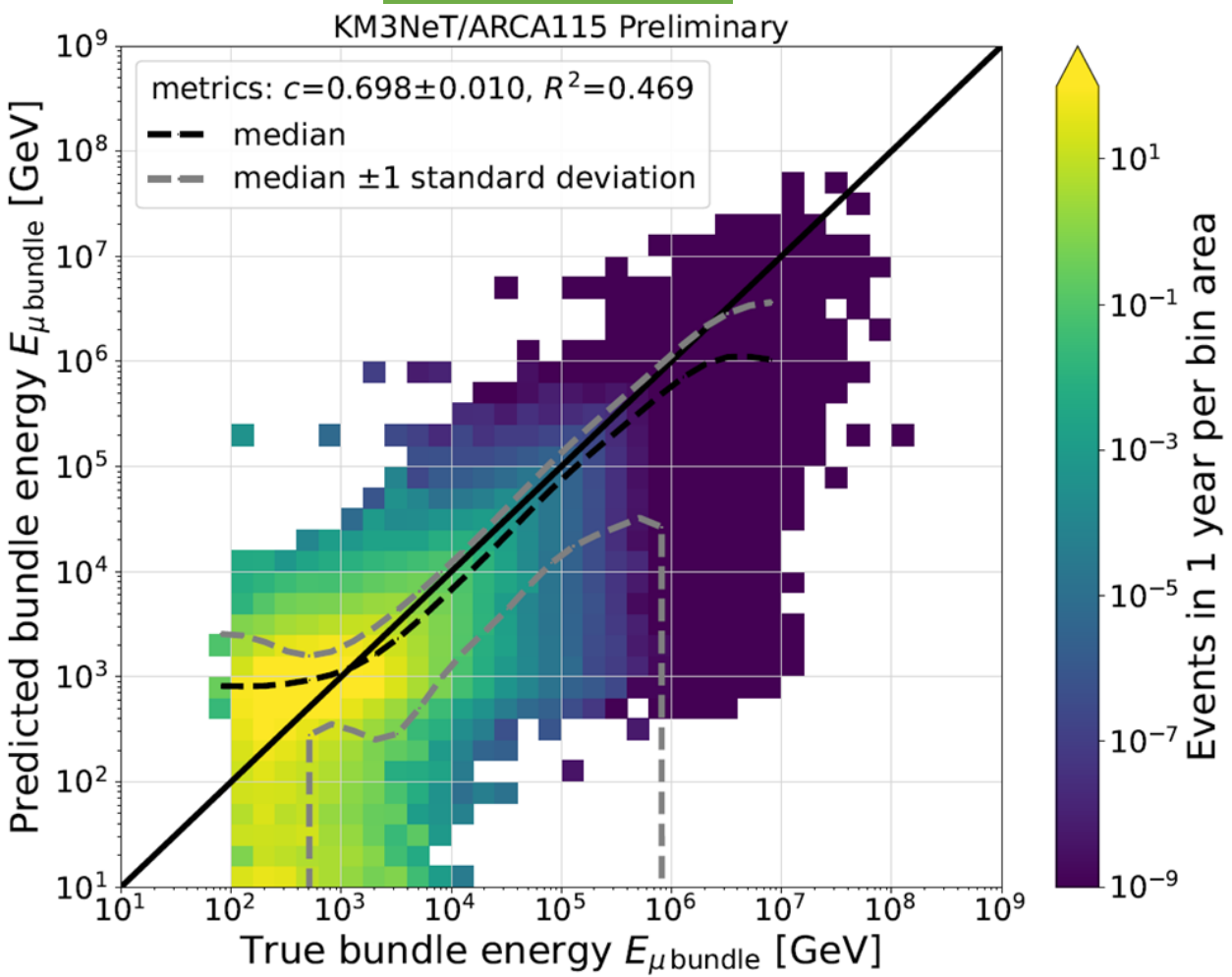
# Bundle energy reco: feature selection

I considered 4 options:

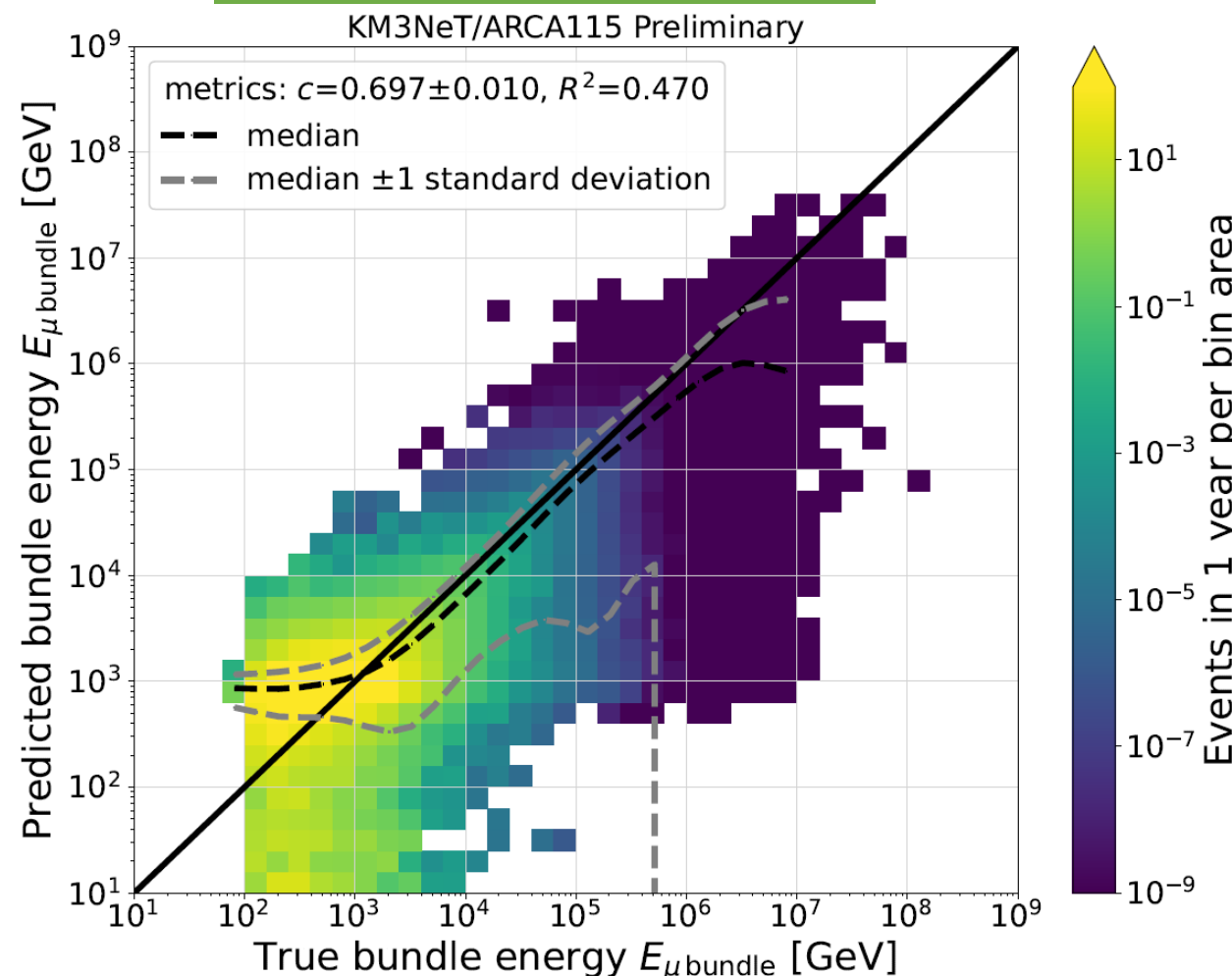
- 1. All features
- 2. Features with importance>0 & only the most important
- 3. The most important feature only
- 4. Features with importance>0

one from each cluster

## 1. All features



## 2. importance>0 & clustering



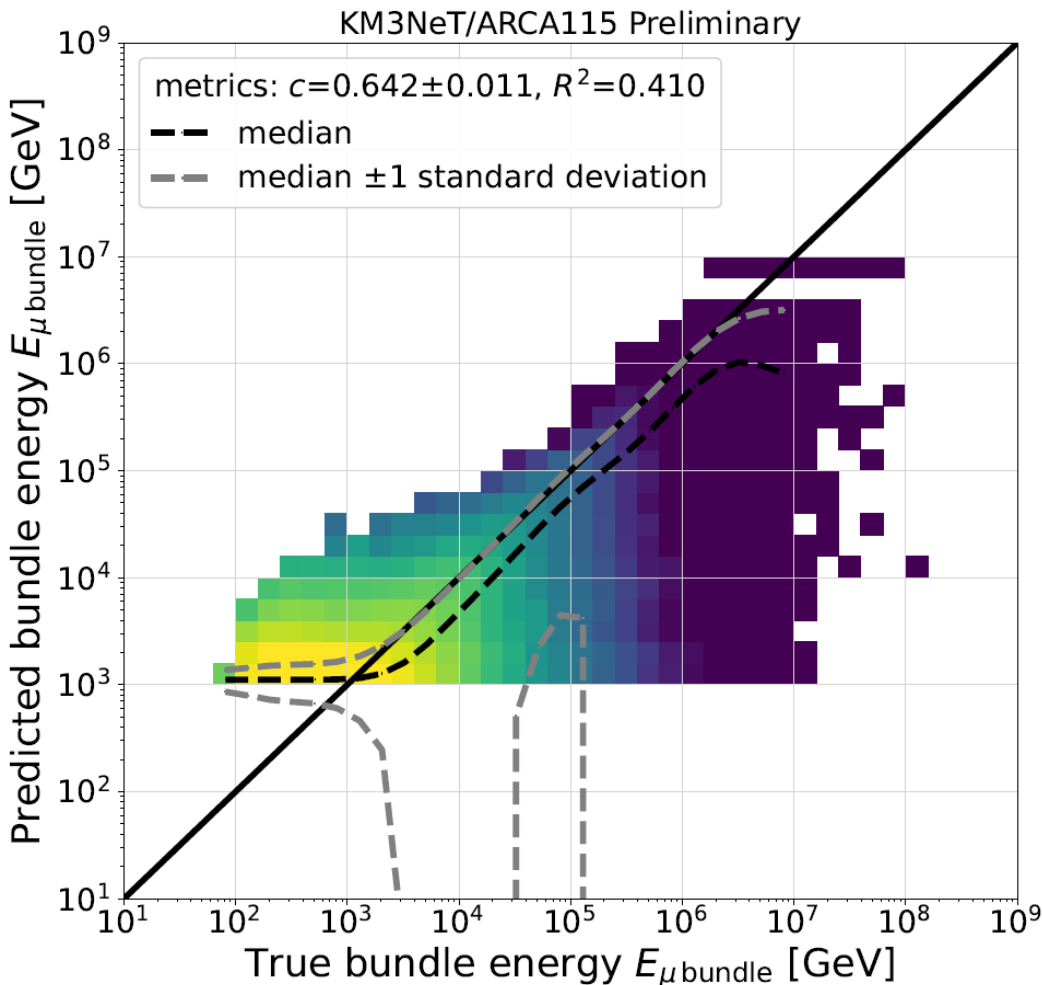
I considered 4 options:

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2. Features with importance>0 & only the most important

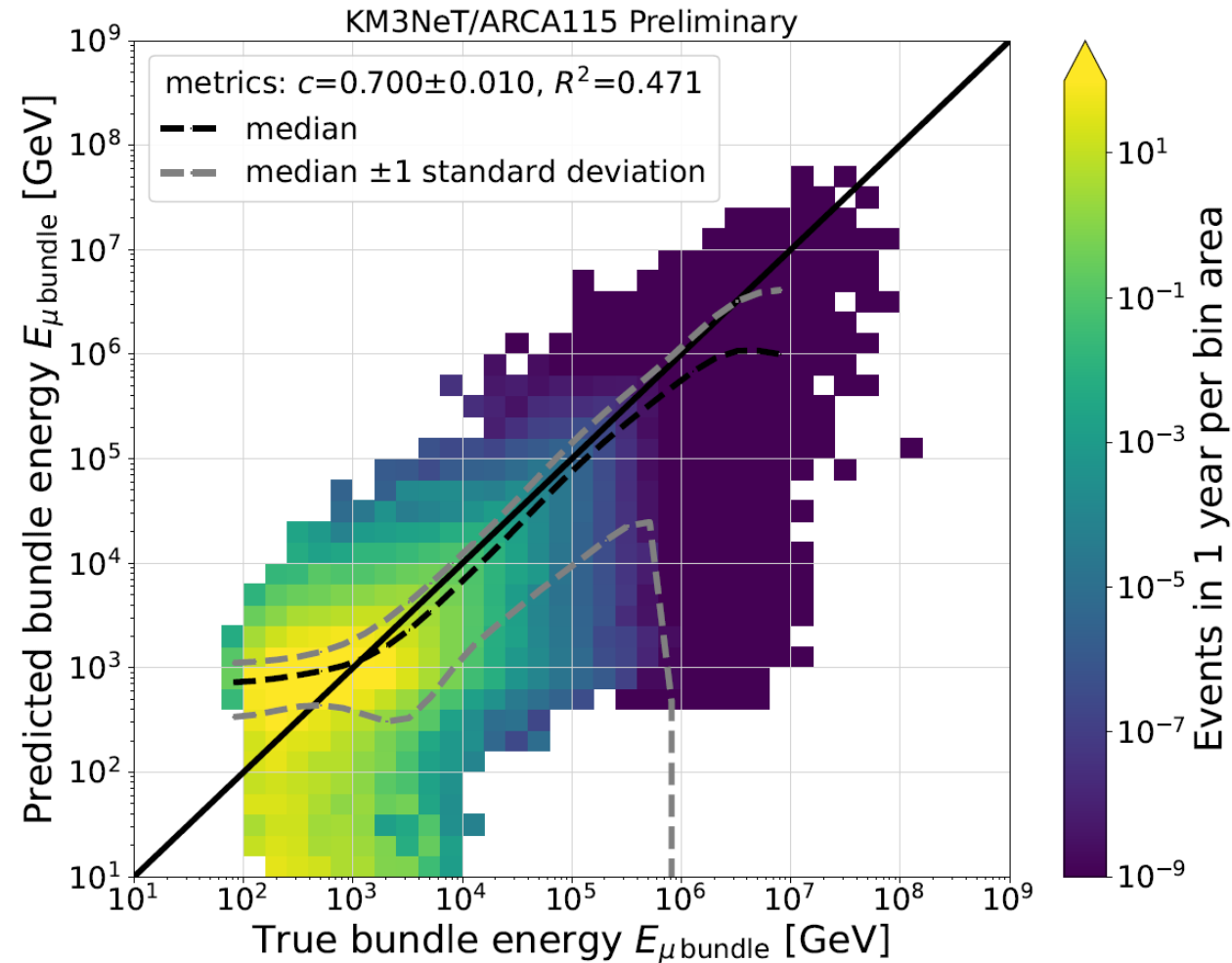
one from each cluster

3. The most important feature only
4. Features with importance>0

### 3. 3DMUON\_3DSHOWER\_trig\_hits only

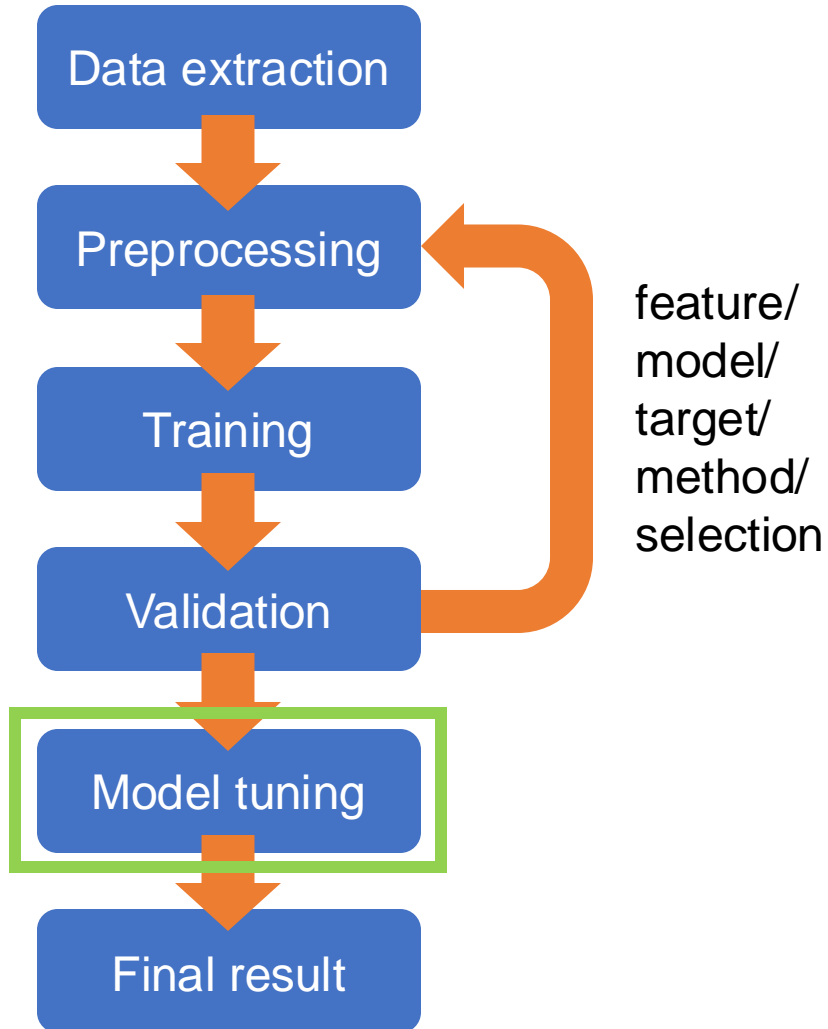


### 4. importance>0

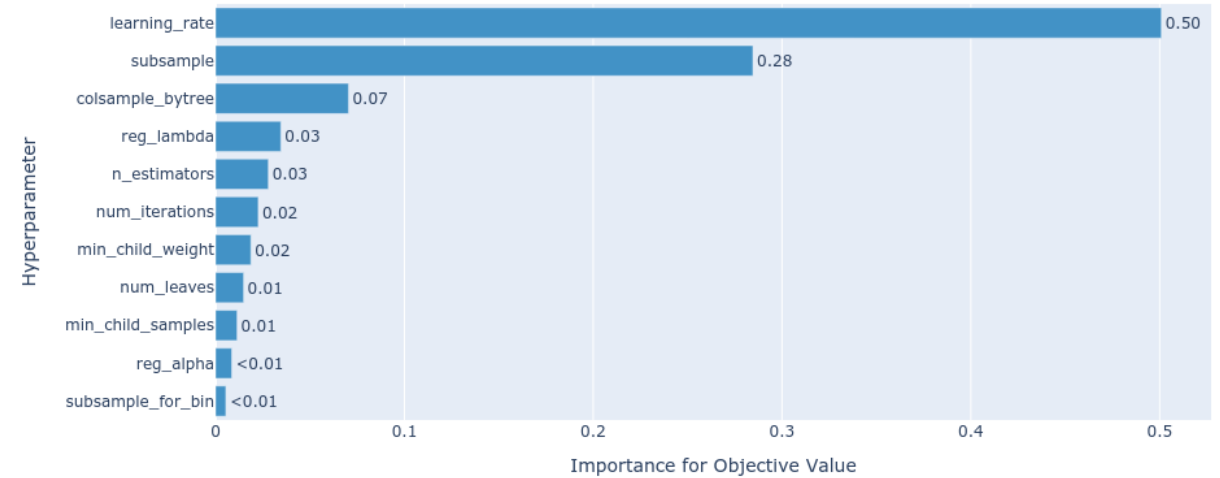




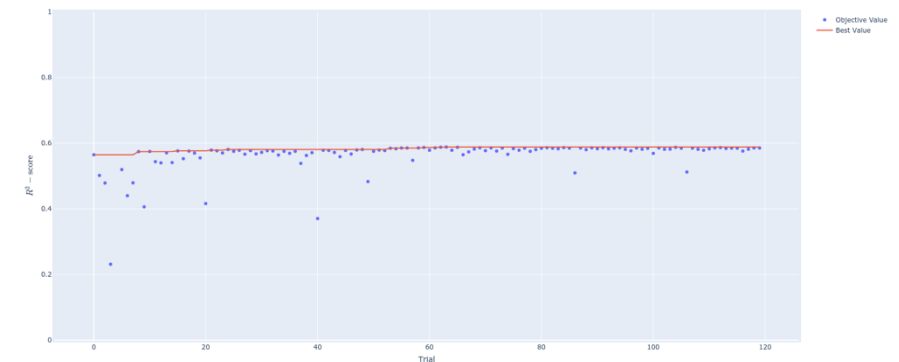
## Workflow of the reconstruction:



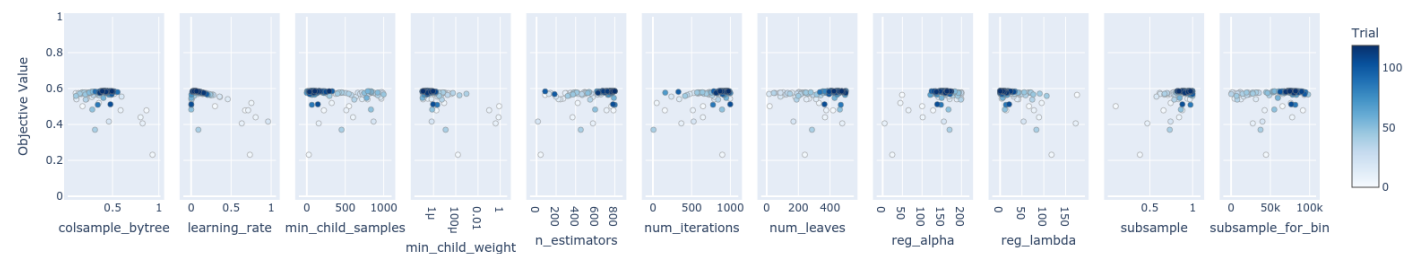
Hyperparameter Importances

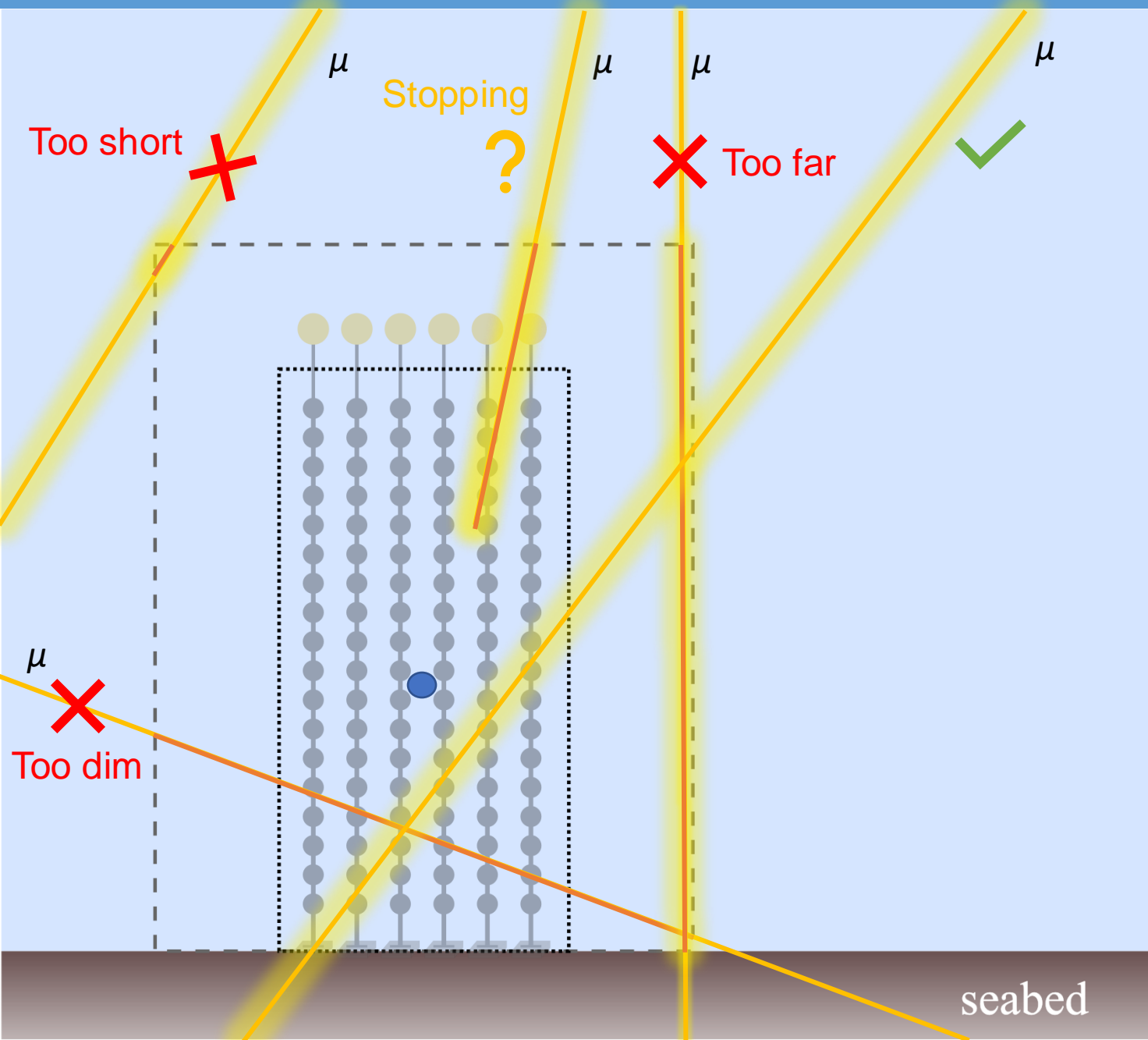


Optimization History Plot



Slice Plot





We want to exclude muons, which:

- ❖ Are too far from the detector
- ❖ Have too short pathlength inside the volume of interest
- ❖ Emit too faint light (have too low Energy)
- ❖ Basically are not visible or would be poorly reconstructed

How?

- ❖ Check the JMuon\* likelihood  $\mathcal{L}$  for single muon events against:
  - distance of muon from the DET center (●) for vertical muons  $\rightarrow$  pick an optimal volume by shrinking the can by  $x$  as:
 
$$r_{\text{can}} - x, h_{\text{can}} - x$$
  - muon pathlength  $L$  but for shrunked can
  - muon energy  $\rightarrow E$  cut

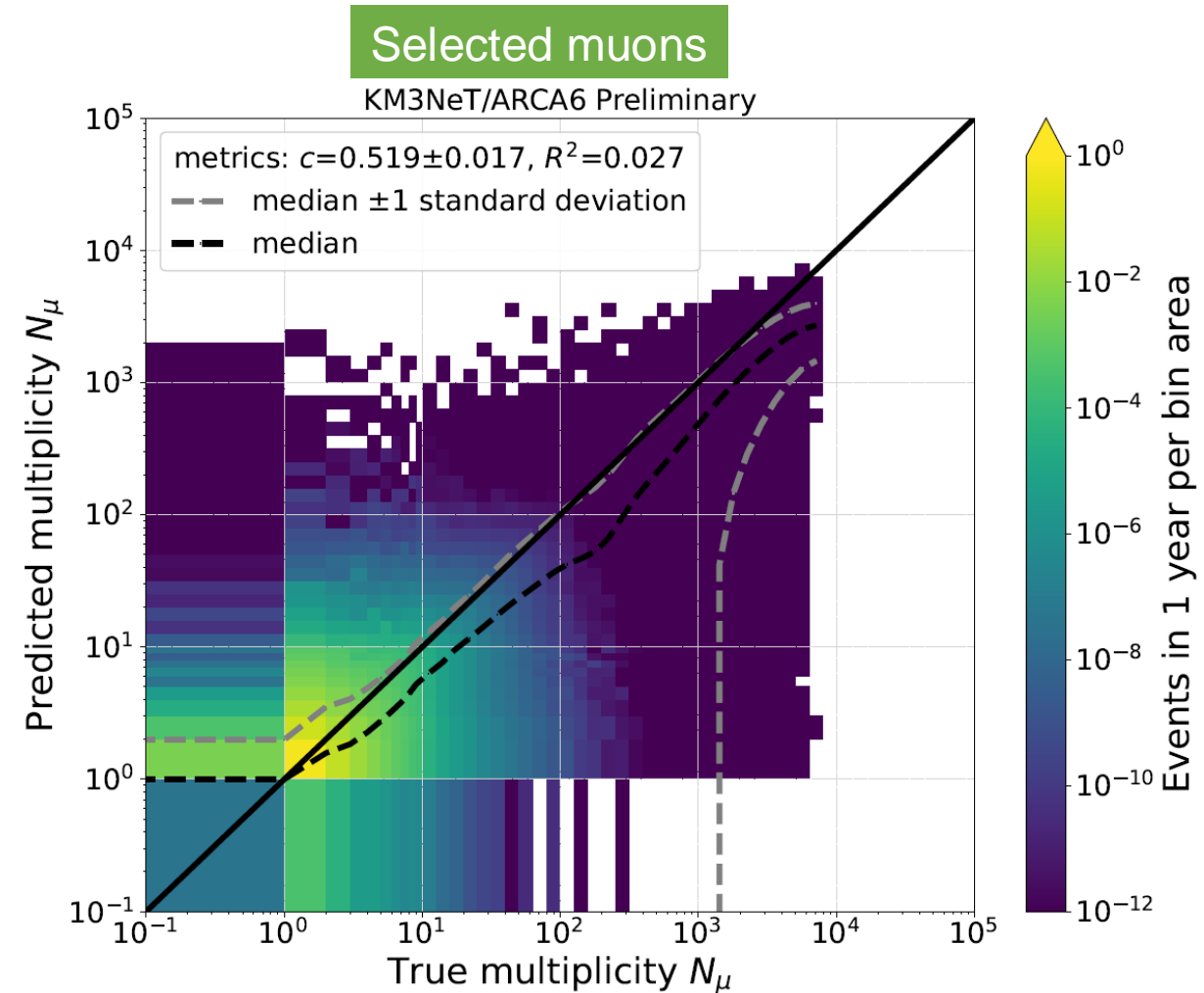
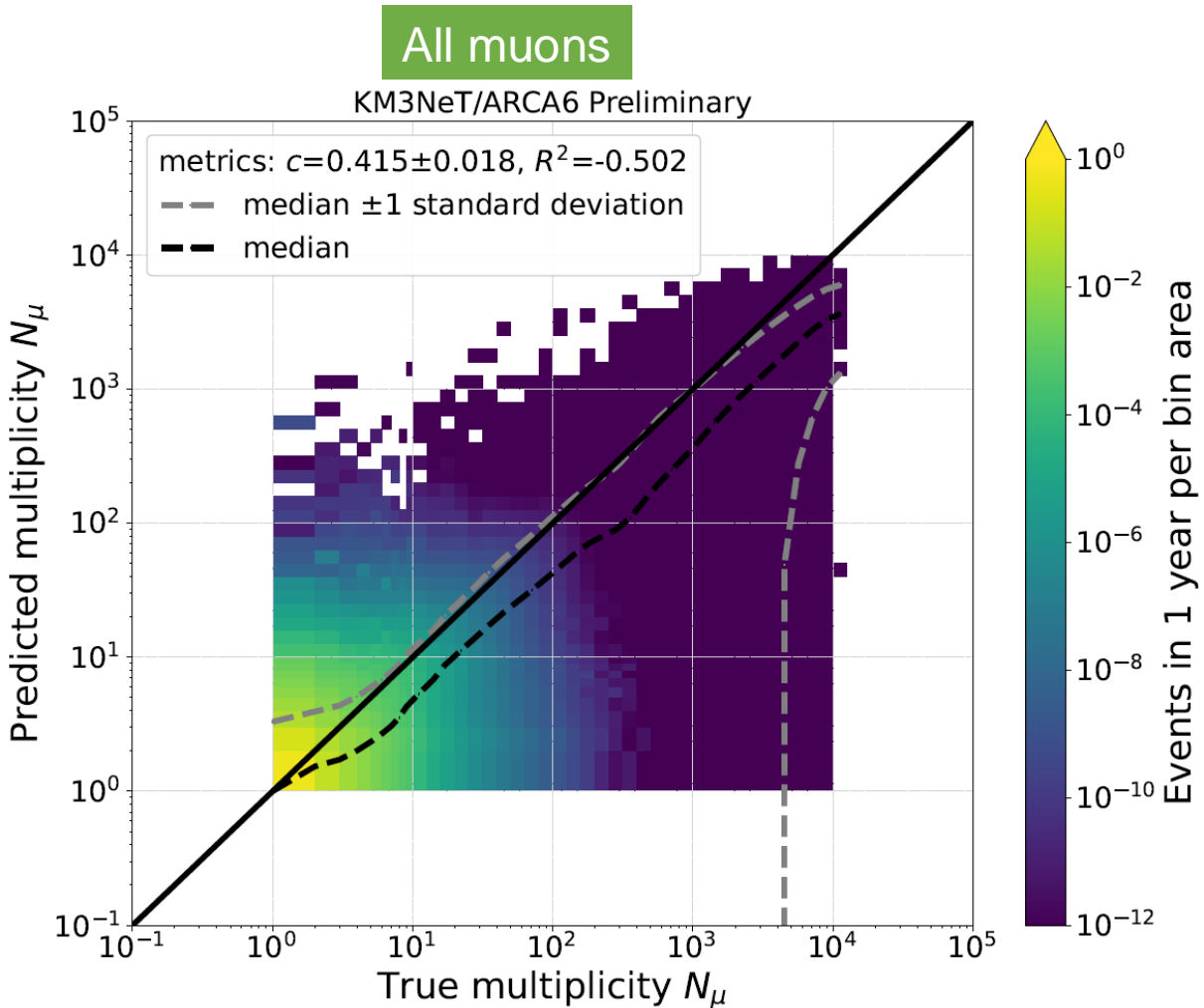
Summary of the selection:

Detector	Minimal $E_\mu$ [GeV]	$d_{\max}$ [m]	minimal $L_\mu$ [m]
ARCA115	120	-	-
ARCA6	120	269.4	240
ORCA115	1	-	-
ORCA6	1	-	-

(plots in the backup)

This selection is used for further multiplicity results

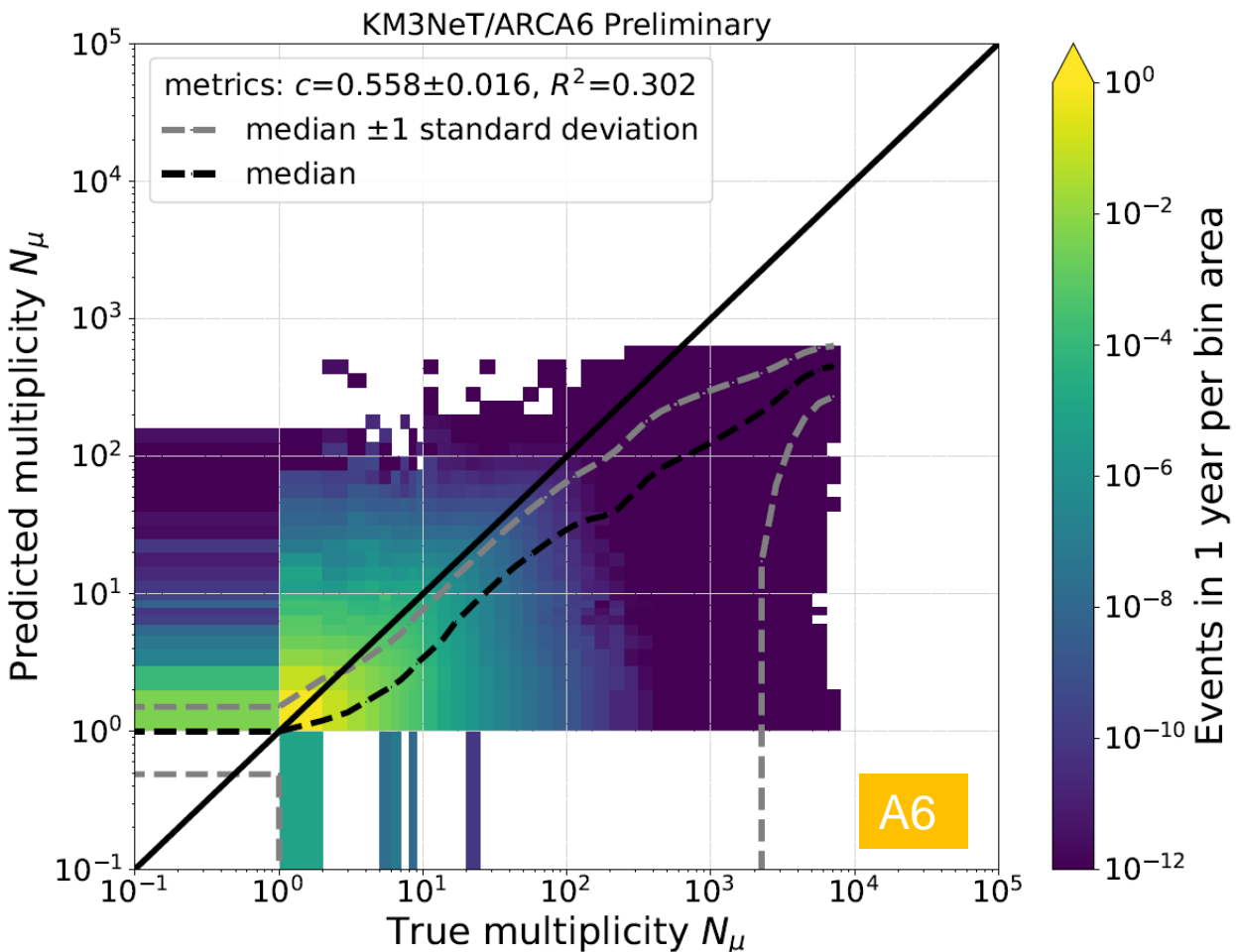
Example of ARCA6, for which the effect is the most pronounced



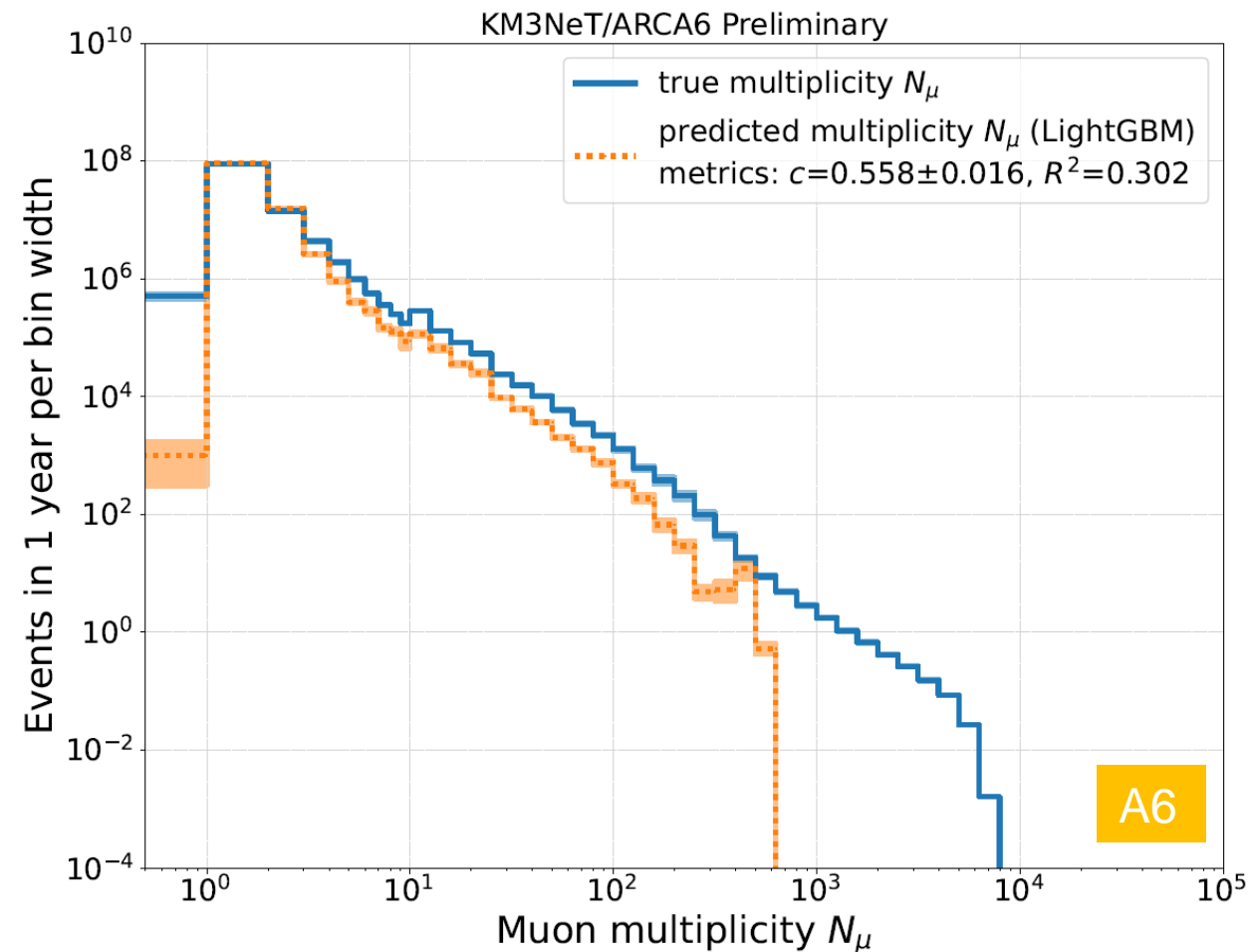
Analogical results obtained for ARCA115, ORCA115 and ORCA6

## Example of the results for ARCA6:

2D: pred vs true



1D histograms



# Definition of the test

Poisson formula for BGD with non-negligible uncertainty:

[ref1](#) [ref2](#) [ref3](#)

$$TS: q_0 = \begin{cases} 2 \cdot \left[ N_{TOTAL} \cdot \ln \left( \frac{N_{TOTAL} \cdot (N_{BGD} + \sigma_{BGD}^2)}{N_{BGD}^2 + N_{TOTAL} \cdot \sigma_{BGD}^2} \right) - \frac{N_{TOTAL}^2}{\sigma_{BGD}^2} \cdot \ln \left( 1 + \frac{\sigma_{BGD}^2 \cdot (N_{TOTAL} - N_{BGD})}{N_{BGD} \cdot (N_{BGD} + \sigma_{BGD}^2)} \right) \right] & \text{for } N_{TOTAL} \geq N_{BGD} \\ 0 & \text{for } N_{TOTAL} < N_{BGD} \end{cases}$$

Significance:  $Z = \sqrt{q_0}$

Critical Z:  $5\sigma$

systematic uncertainties are included:

$$\sigma_{BGD} = \sqrt{(\sigma_{BGD}^{stat})^2 + (\sigma_{BGD}^{syst})^2}$$

Define the test

TS= ...  
Z= ...  
crit. Z = ...

Hypotheses

?

●  $H_1$    ●  $H_0$

select prompt, conv

↓

cross-check

↓

define SIG, BGD

Observable distributions

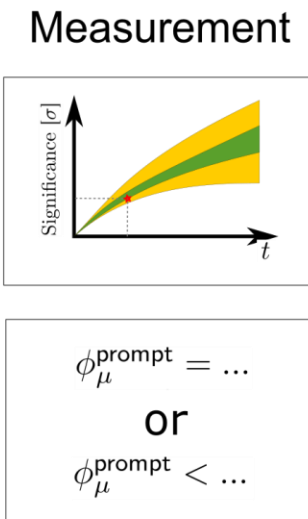
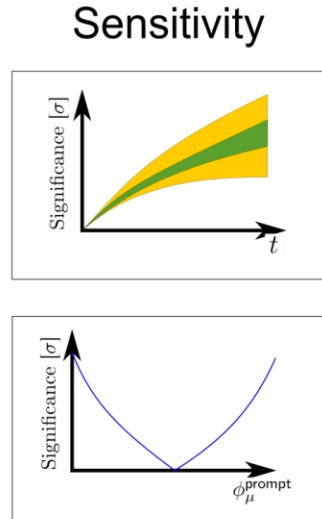
...

Muon flux

variable 1

1D

Critical region



MC

Exp data

Quality cuts

## Definitions:

- ❖ **prompt**:  $\mu$  „directly” from 1st interaction:
  - Possibly few parent particles
  - Parents with lifetime  $\tau < \tau_{K_S^0}$
- ❖ **conventional**: all other  $\mu$

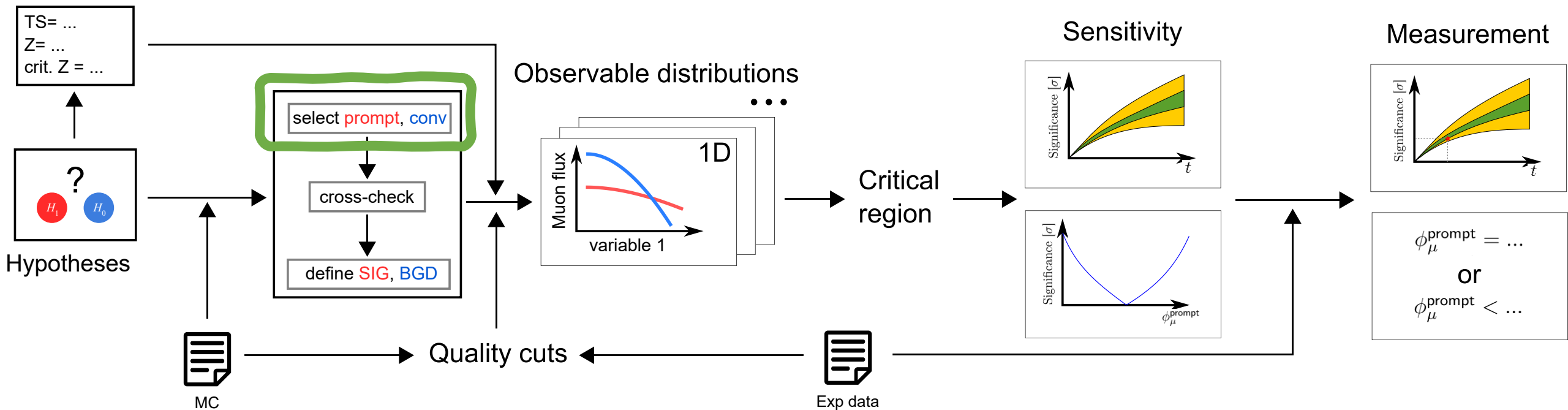
MC  
prompt  $\mu$ :

(hadronic counters)

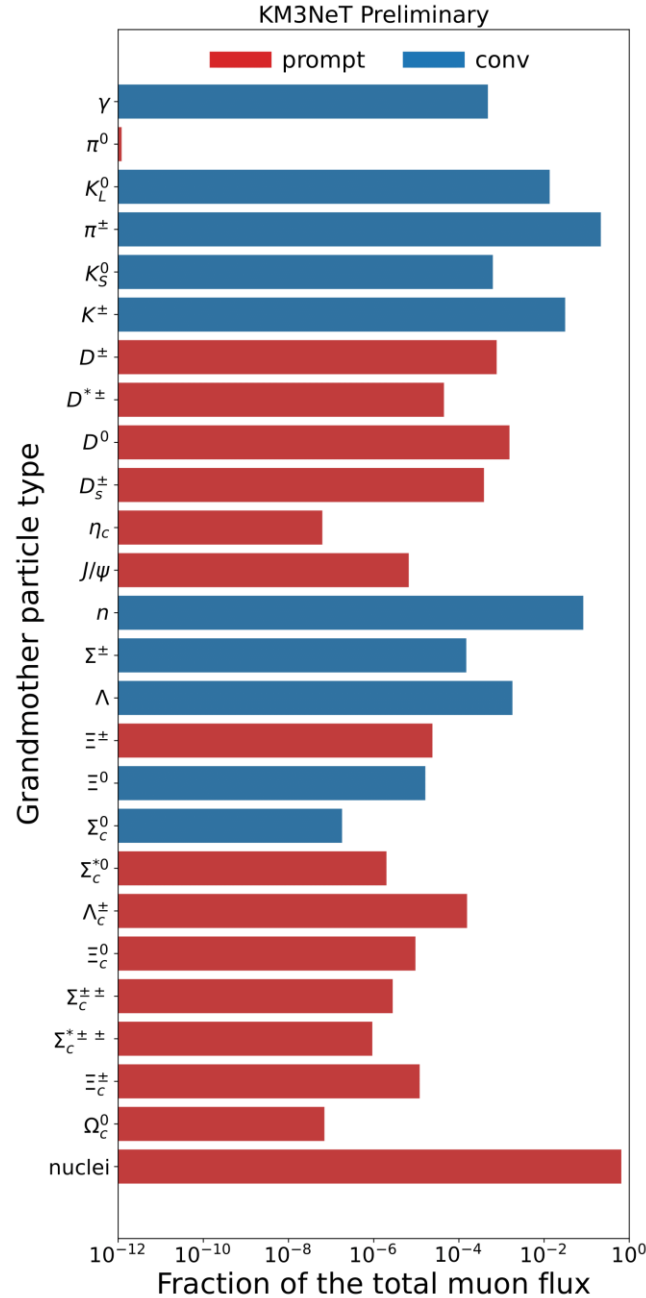
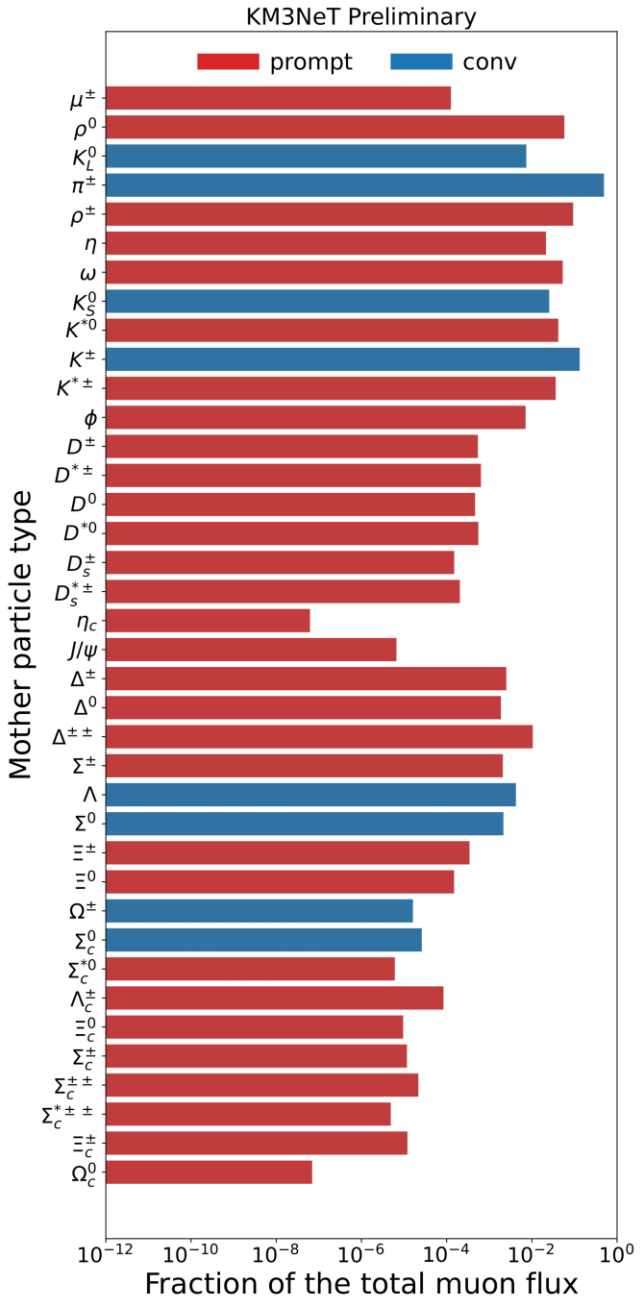
gmom	mom	$h_{\text{mom}}$	$h_{\mu}$	EM counters
prim	muon	1	1	0
prompt	muon	any	any	0
prim	prompt	$\leq 2$	$\leq 2$	0
prompt	prompt	2	32	0
		3	33	0

$\tau_{K_S^0} = 89.5\text{ps}$

Define the test







Note: 1 parent **conventional** → the muon is **conventional**.

The colours here only tell you if particles have short or long lifetimes (if applicable).

Most muons originate from  $\pi^\pm$  and  $K^\pm$ , as expected.

The most important **prompt** mother particles for muons are light vector mesons ( $\eta, \rho, \omega$ ), not  $D$  mesons (also expected).

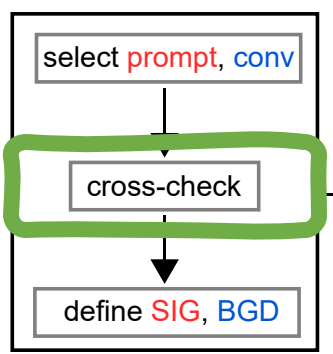
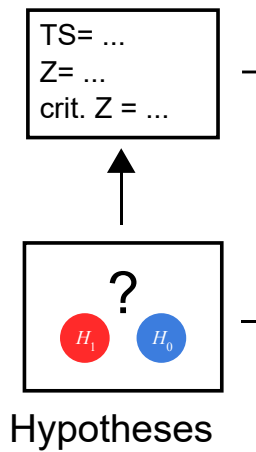
If mother is a muon or grandmother is the same nucleus as the primary, it means that there were just less interactions between shower start and muon creation.

NB: particles & antiparticles are counted together! (and so are all nuclei, including hydrogen)

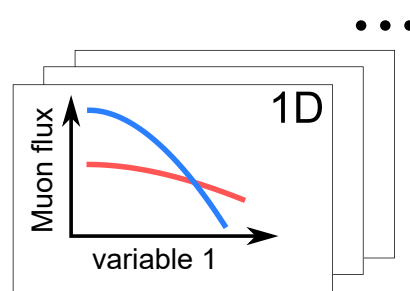
I look at 3 things:

1. Muon arrival time
2. Muon energy share
3. Muon production point

Define the test

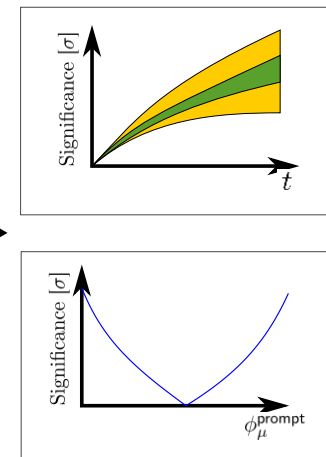


Observable distributions

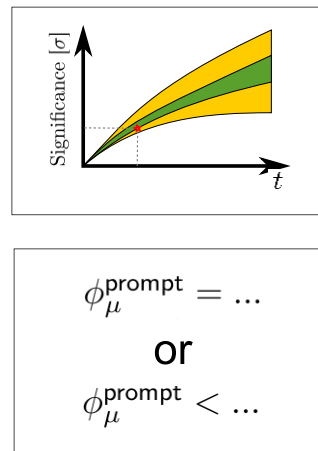


Critical region

Sensitivity

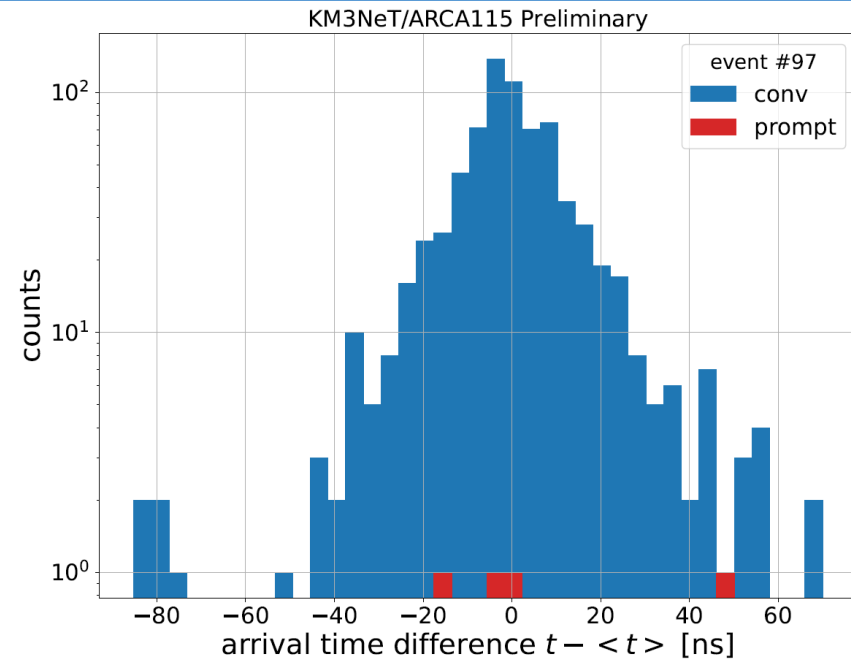
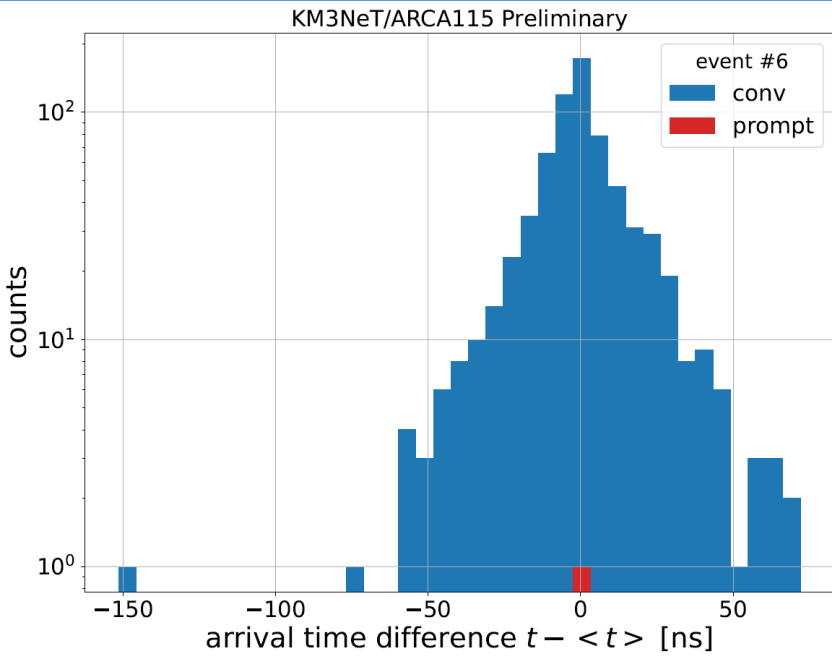


Measurement



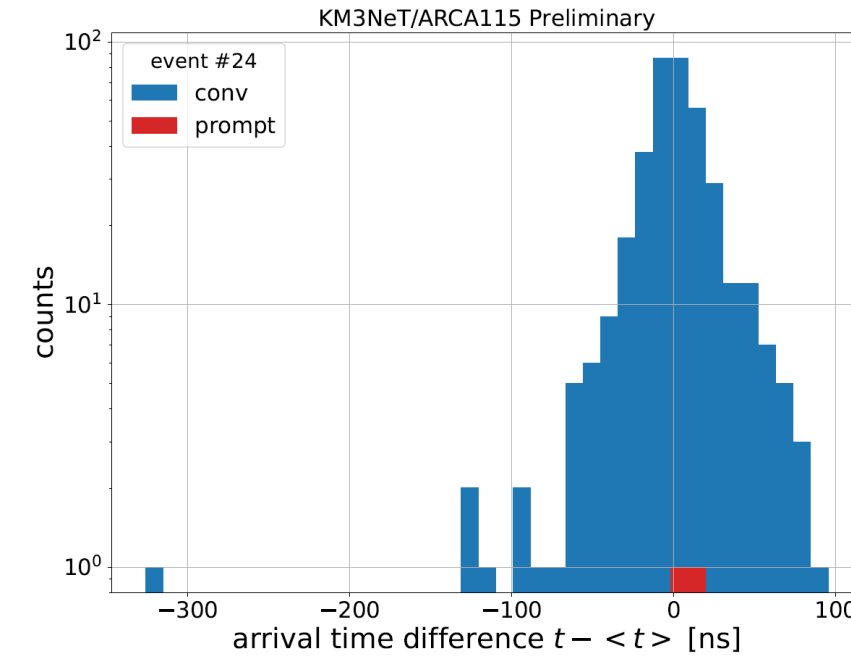
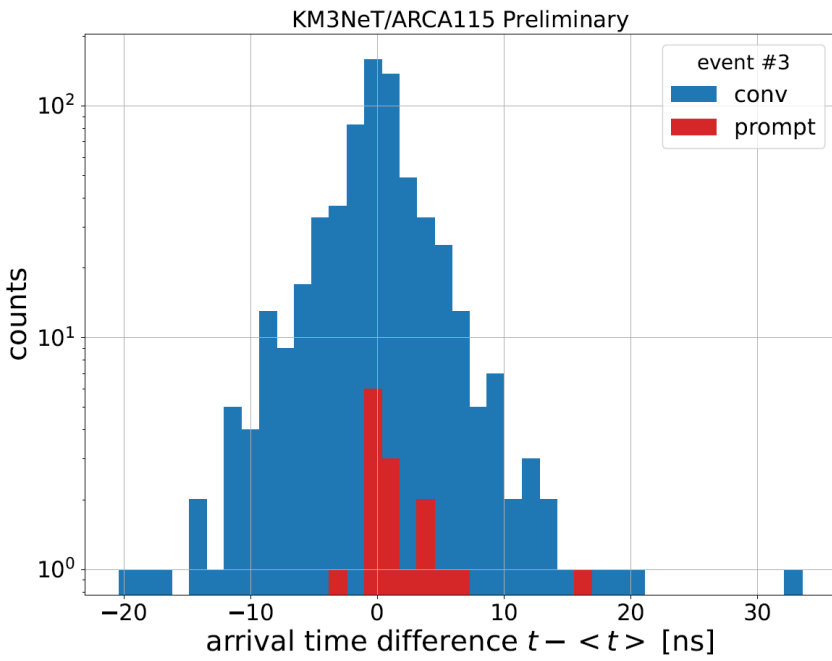
Quality cuts





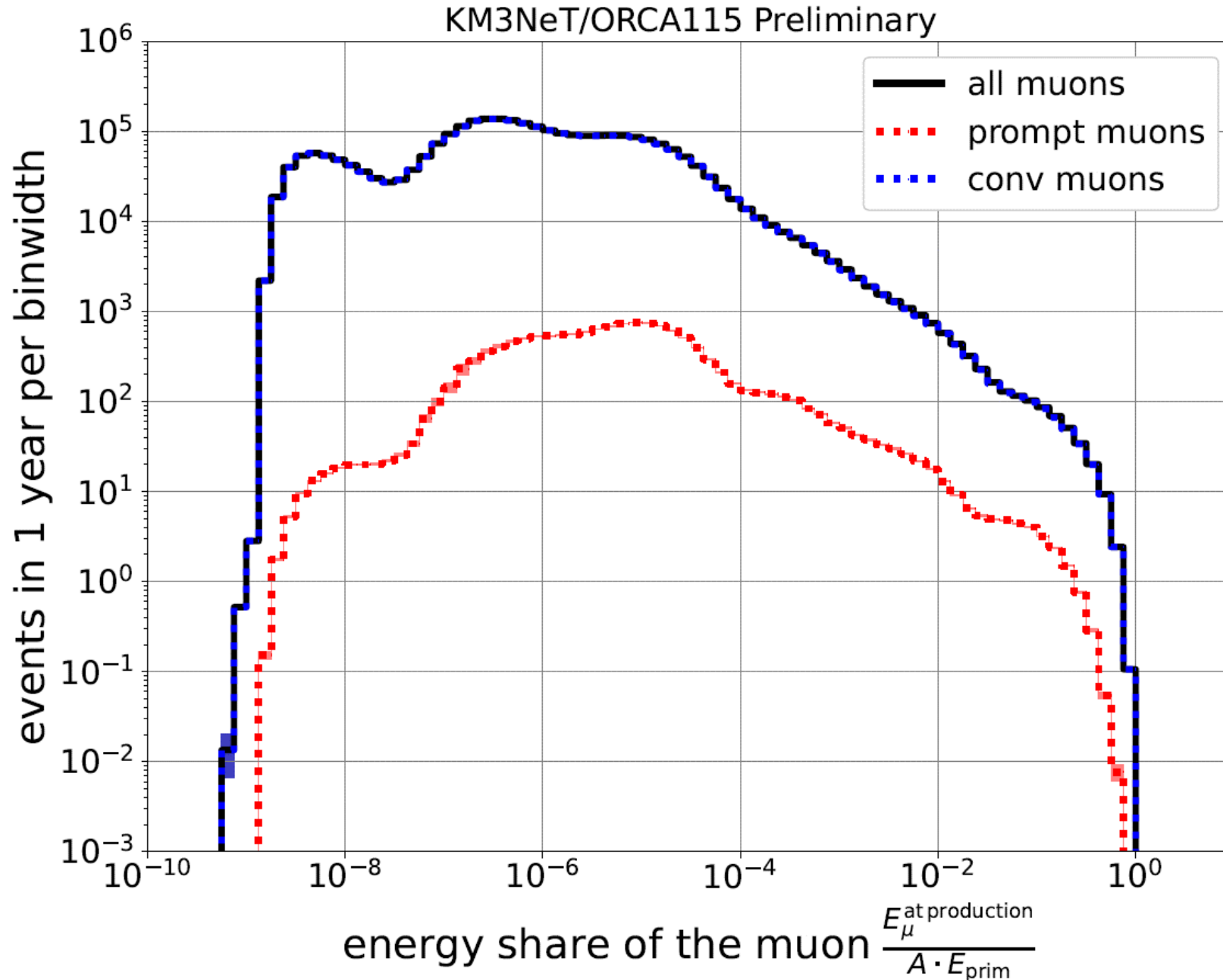
Proton events

arrival time: time between the first interaction of the primary and the muon crossing the can boundary



Iron events

Conclusion here is that **prompt** is not really evident from arrival times on event-by-event basis (which is a bummer, because this could have been measurable)



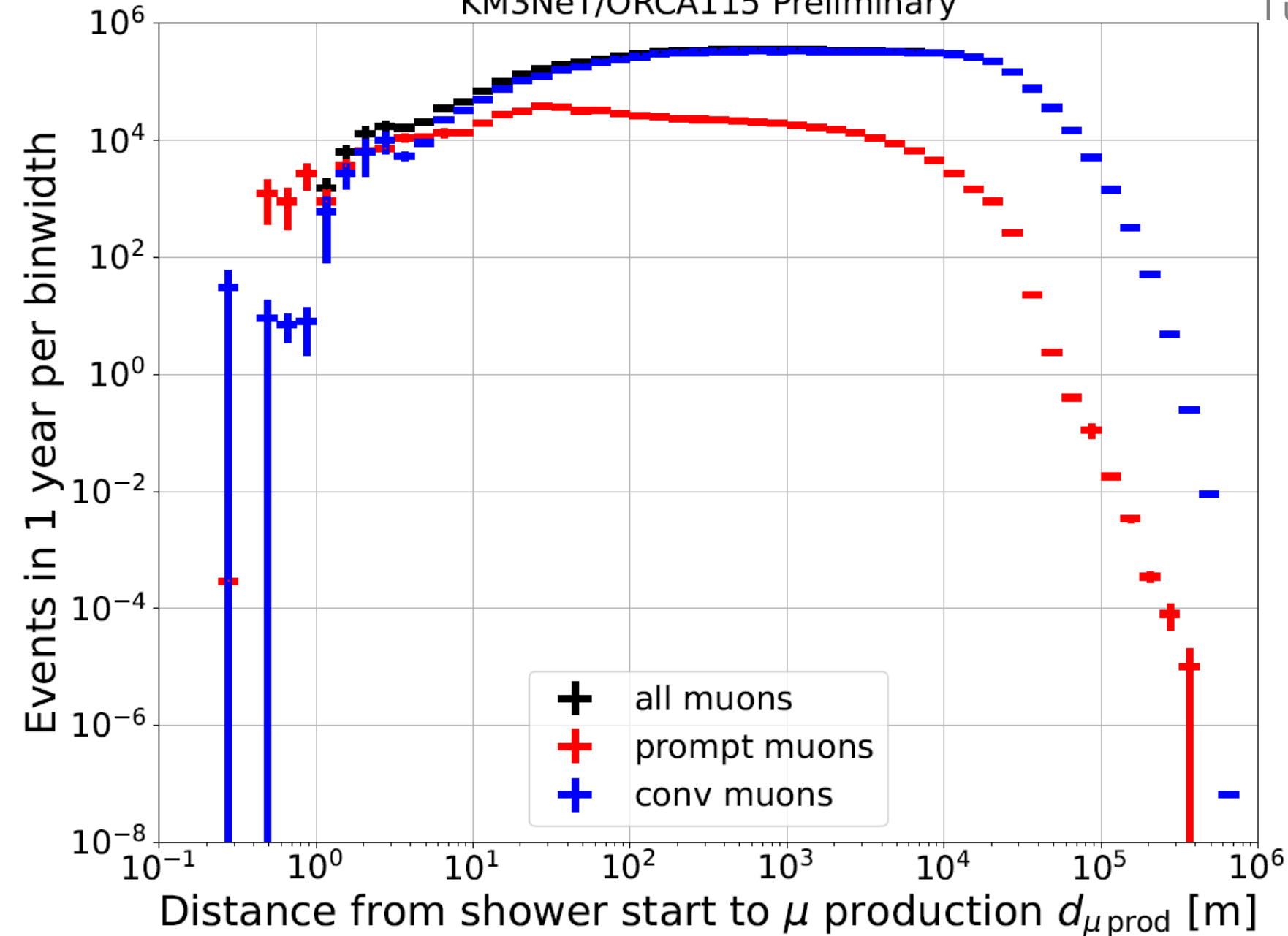
I use ORCA115 to boost the statistics

Prompt muons indeed tend to carry a larger portion of the total primary energy

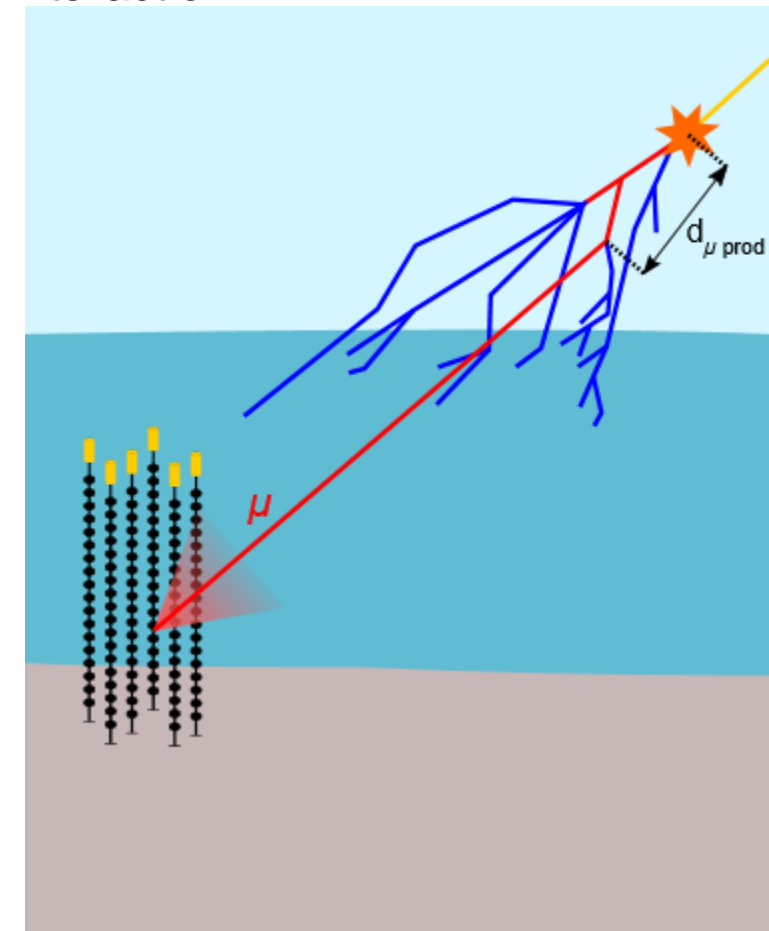
The wiggles are coming from the contributions of different primaries

KM3NeT/ORCA115 Preliminary

I use ORCA115 to boost the statistics



Prompt muons indeed are more often produced close to the 1st interaction



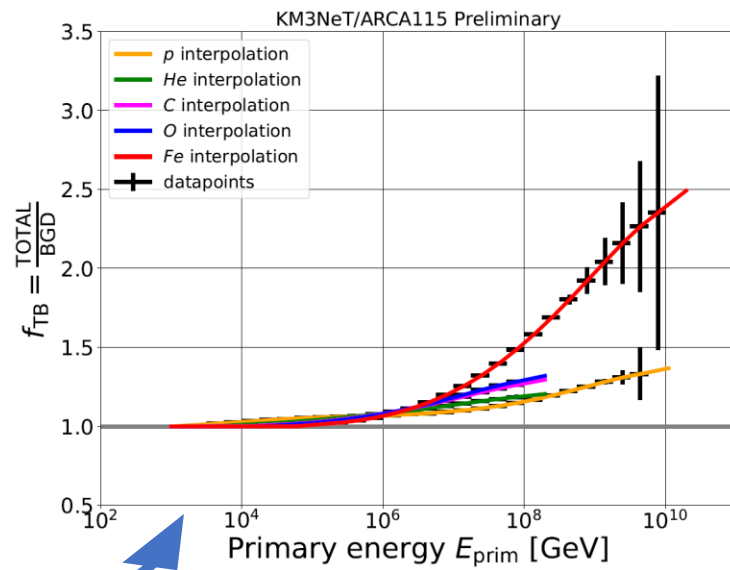
# Definition of signal and background

## Definitions:

- ❖ **SIG**:  $\geq 1$  prompt  $\mu$  in bundle
- ❖ **BGD**: 0 prompt  $\mu$  in bundle

BGD has to be reweighted:

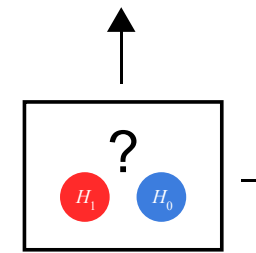
$$w_{\text{BGD}} = w_{\text{event}}(\text{prim}, E_{\text{prim}}) \cdot f_{\text{TB}}(\text{prim}, E_{\text{prim}})$$



Note:  
Ideally,  $f_{\text{TB}}$  should be evaluated at generation, but that's not possible (or we could use 2 separate MC's, but it's not possible yet)

## Define the test

TS= ...  
Z= ...  
crit. Z = ...

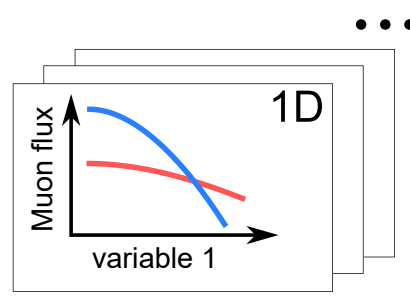


Hypotheses

```

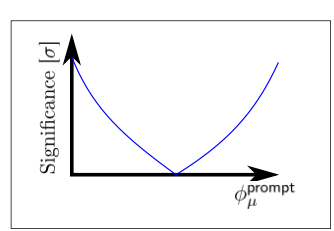
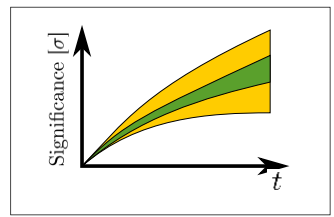
    graph TD
        H[Hypotheses] --> S[select prompt, conv]
        S --> CC[cross-check]
        CC --> D[define SIG, BGD]
        D --> S
        D --> Q[Quality cuts]
        Q --> S
    
```

## Observable distributions

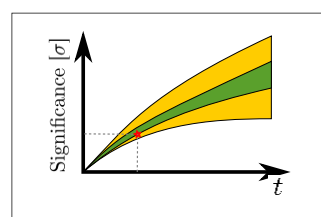


Critical region

## Sensitivity



## Measurement



$\phi_{\mu}^{\text{prompt}} = \dots$   
or  
 $\phi_{\mu}^{\text{prompt}} < \dots$



MC

Quality cuts



Exp data

The quality cuts:

❖ Likelihood cut:

ARCA:  $\mathcal{L} > 50$

ORCA:  $\mathcal{L} > 280$

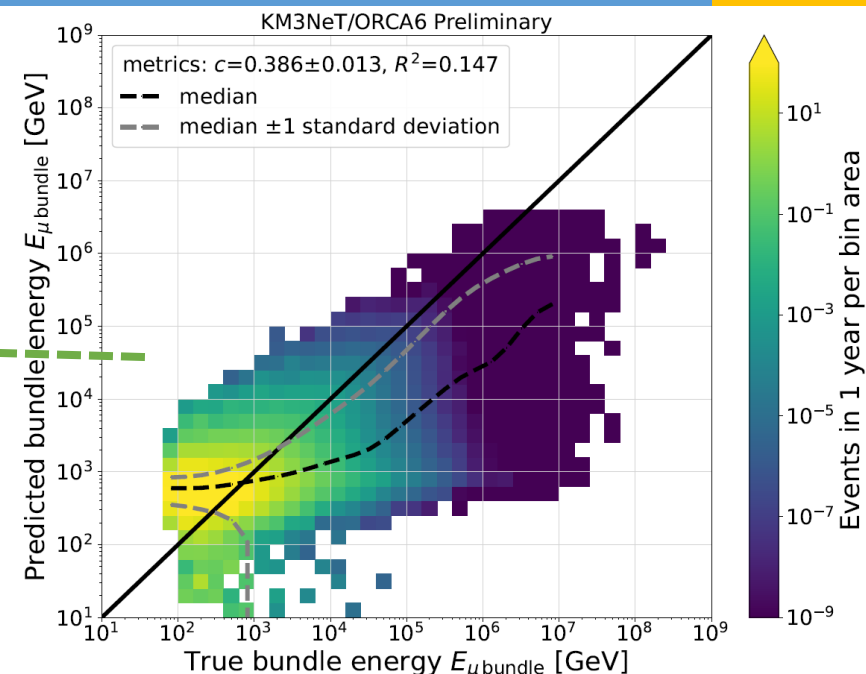
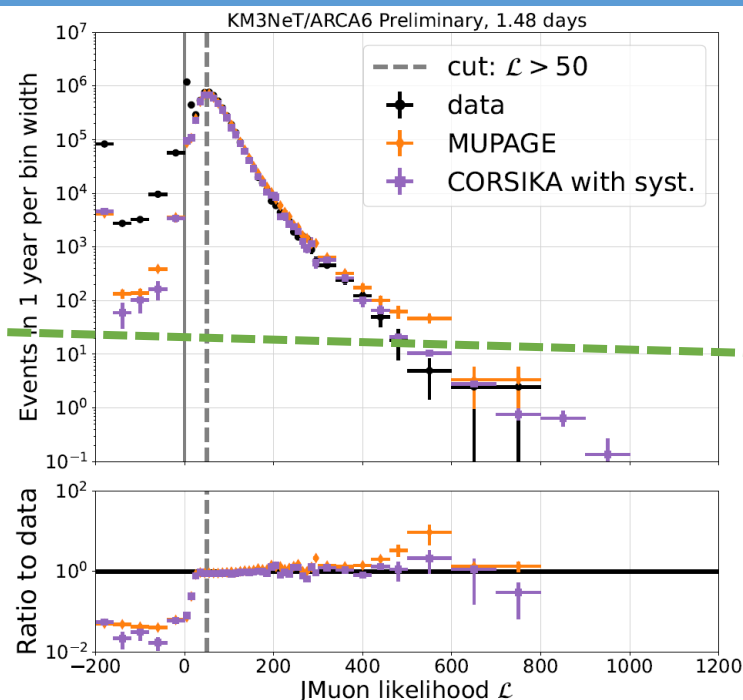
❖ ML reco reliability cut:

ARCA115:  $E_{\text{bundle}} > 3\text{TeV}, N_{\mu} > 0$

ARCA6:  $E_{\text{bundle}} > 3\text{TeV}, N_{\mu} > 0$

ORCA115:  $E_{\text{bundle}} > 300\text{GeV}, N_{\mu} > 0$

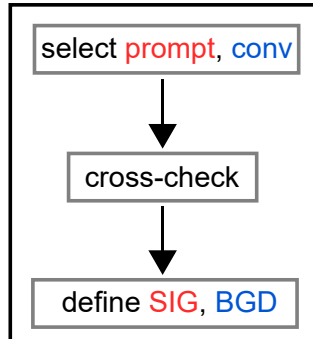
ORCA6:  $E_{\text{bundle}} > 800\text{GeV}, N_{\mu} > 0$



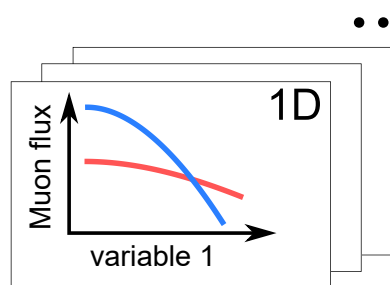
Define the test

TS= ...  
Z= ...  
crit. Z = ...

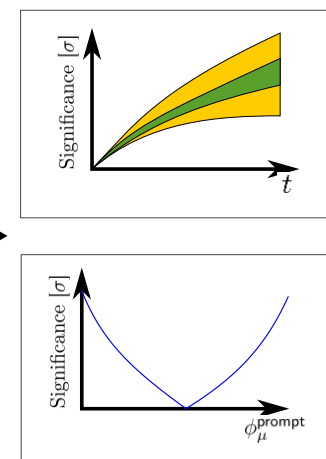
Hypotheses  
 $H_1$  ?  $H_0$



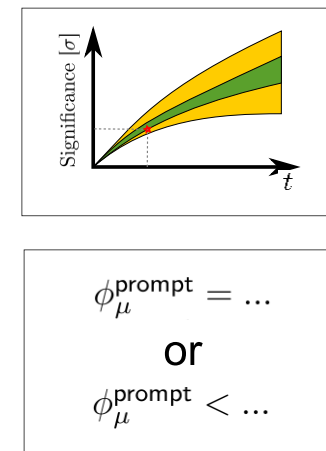
Observable distributions



Critical region



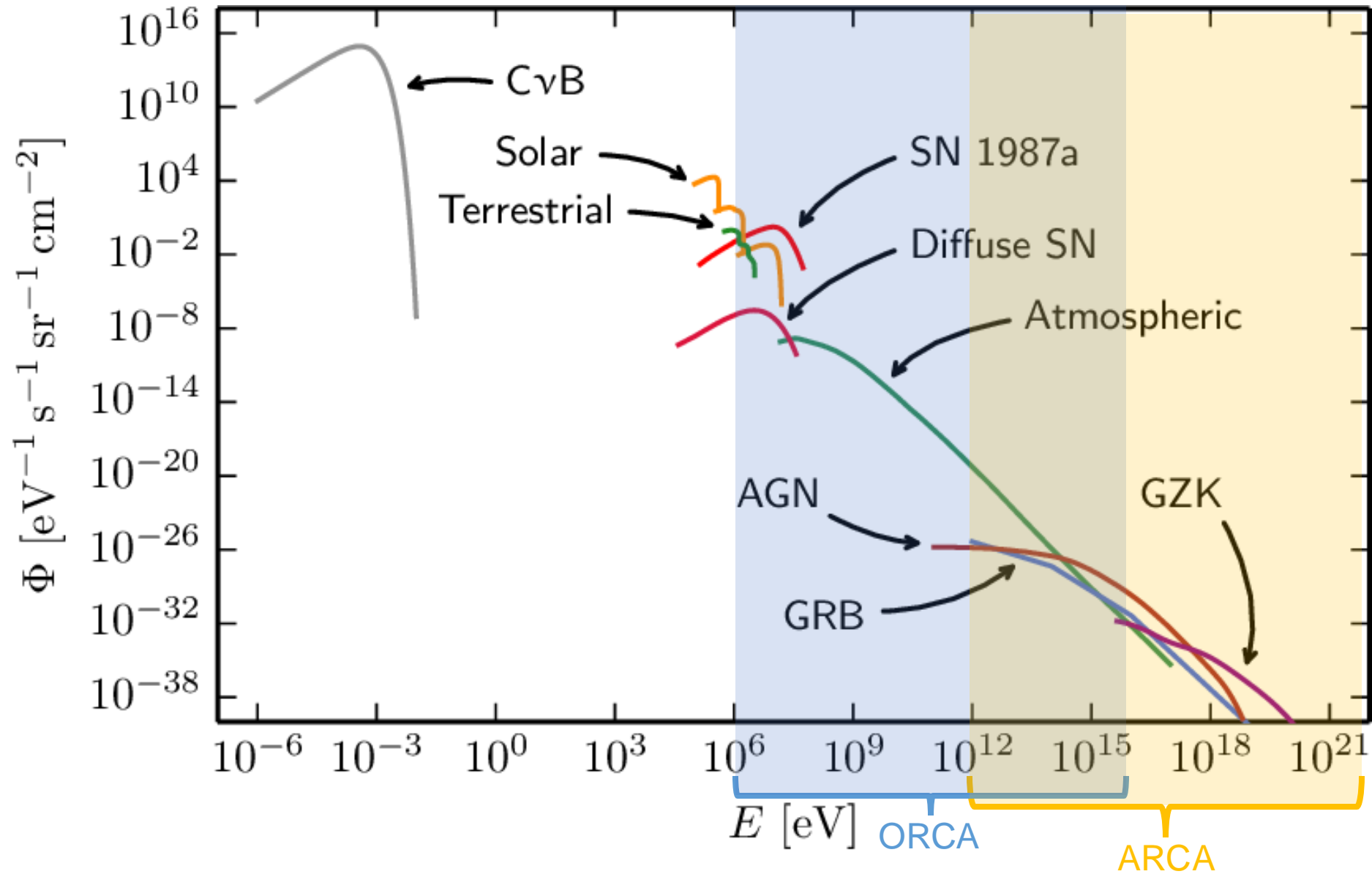
Measurement



MC

Exp data



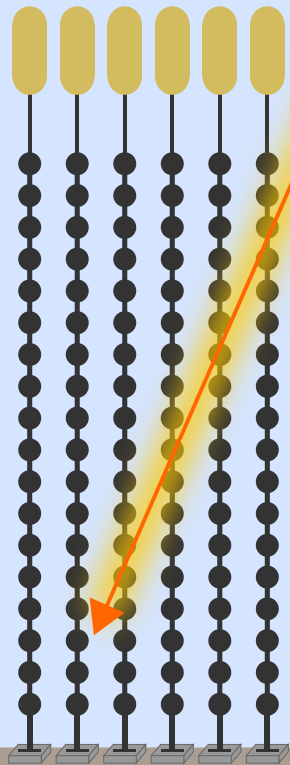


Examples of basic event topologies:

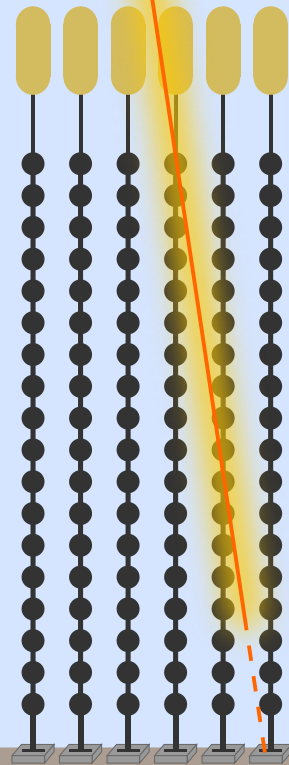
Classes based on combinations of:

- ❖ Direction
- ❖ Shape

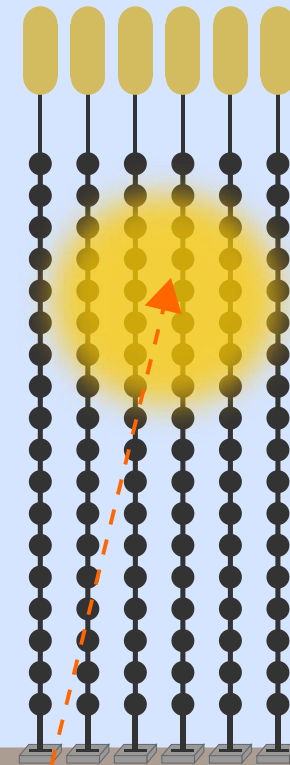
down-going track  
(typically atm.  $\mu$ )



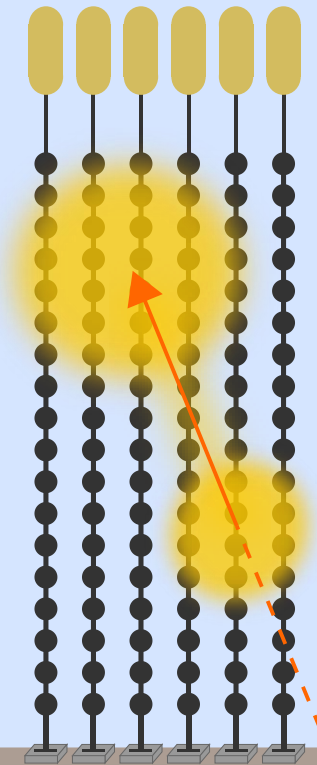
up-going track  
(typically atm.  $\nu_\mu$ )



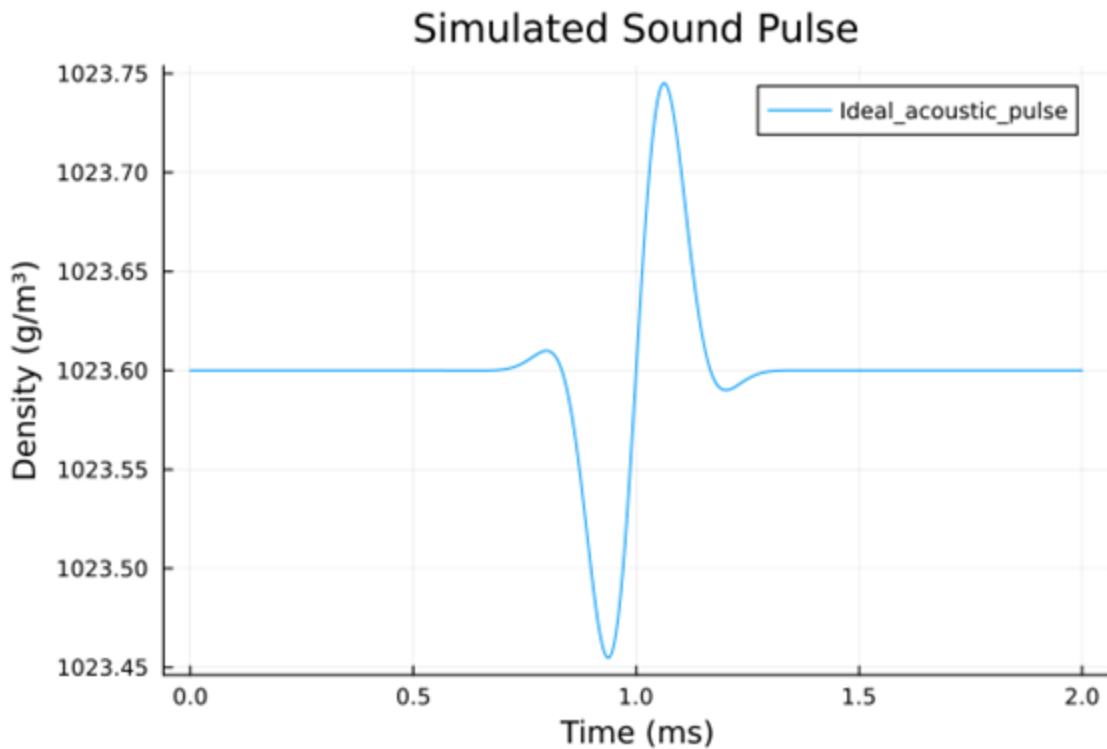
single cascade  
(typically atm.  $\nu_e/\nu_\tau$ )



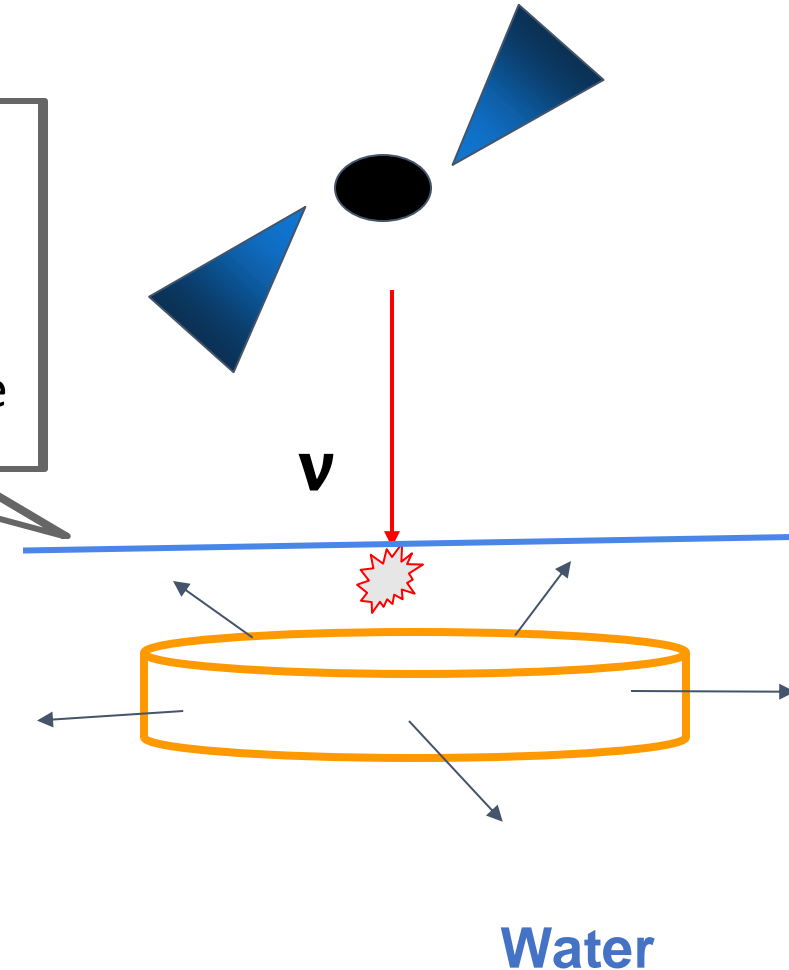
double cascade  
(typically atm.  $\nu_\tau$ )



- UHE neutrinos may also interact with water and produce acoustic pulse



Relaxation of water molecules approaching equilibrium pressure

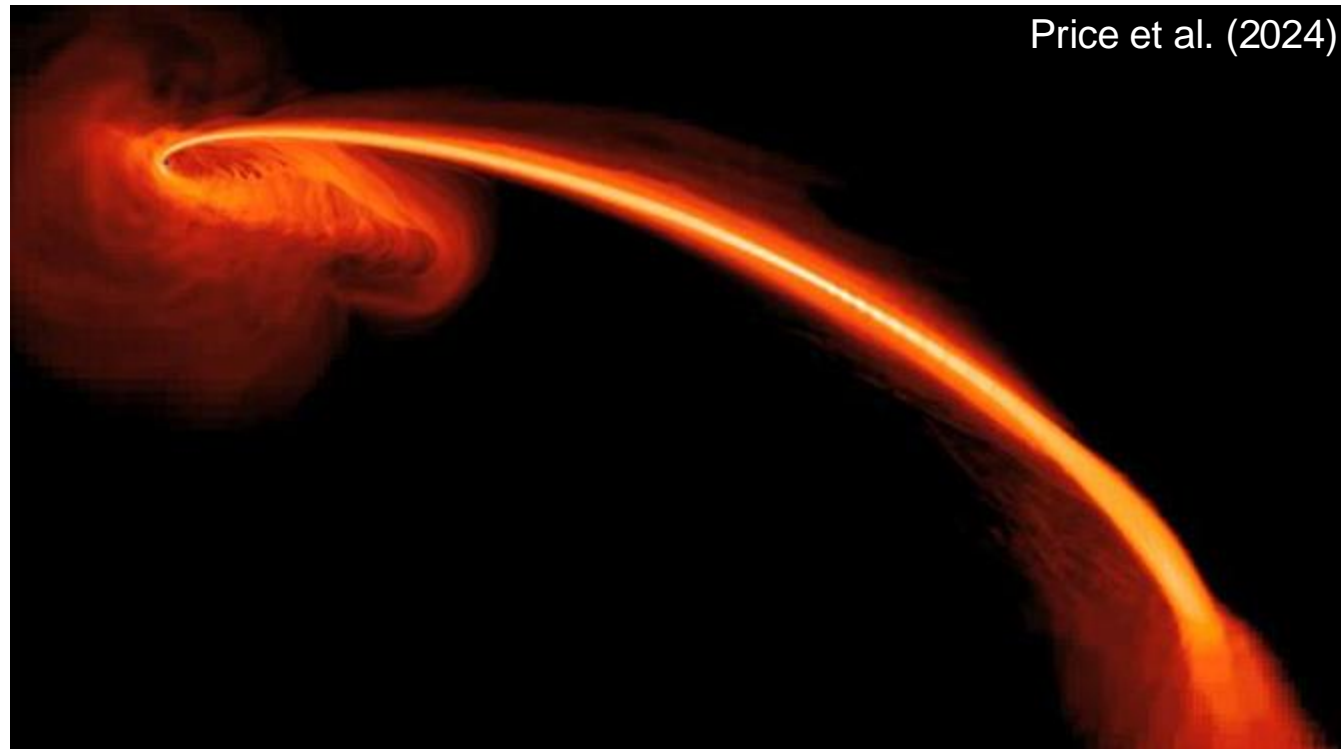


## High-energy neutrinos

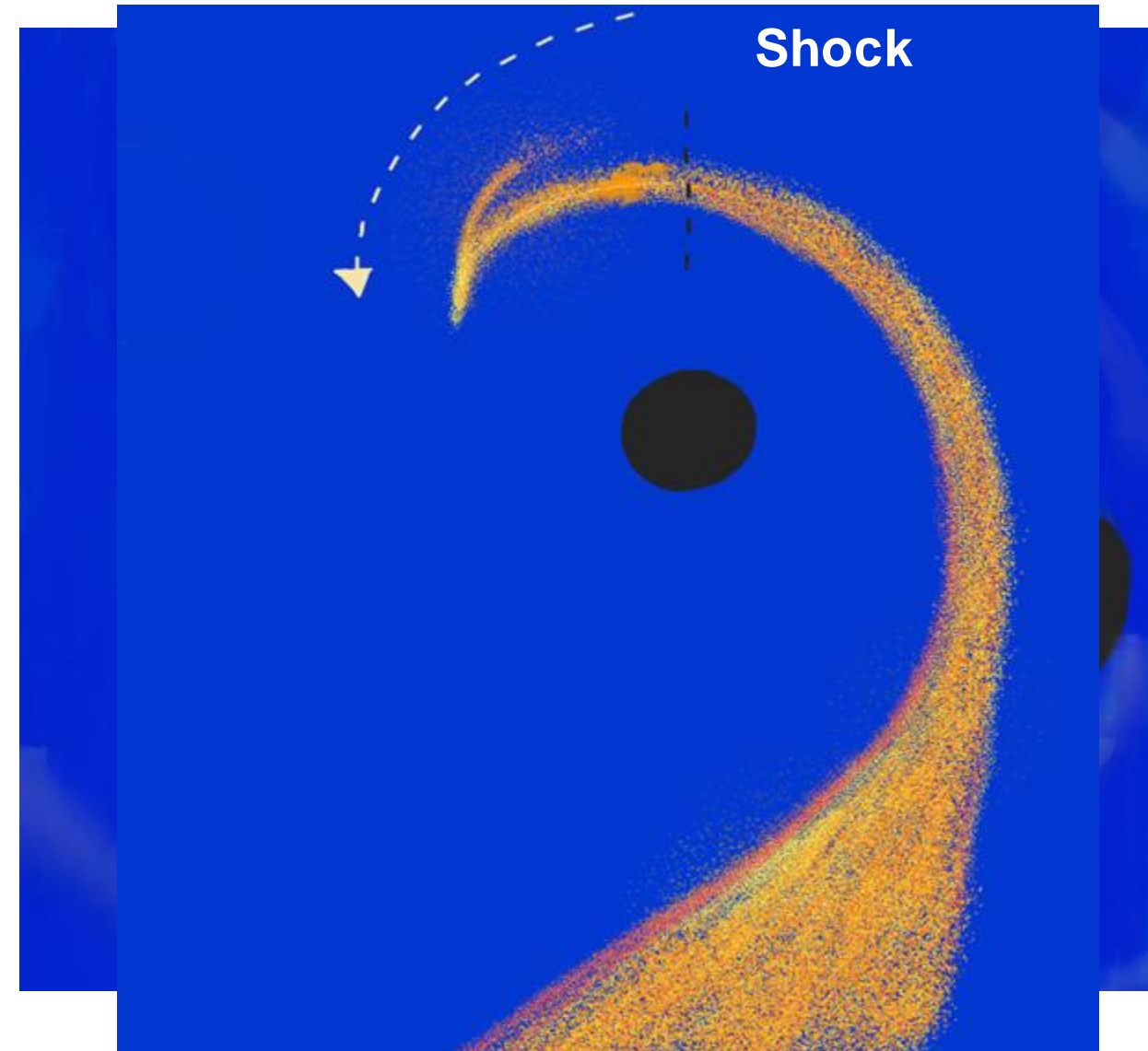
Tidally disrupted events

Strategy

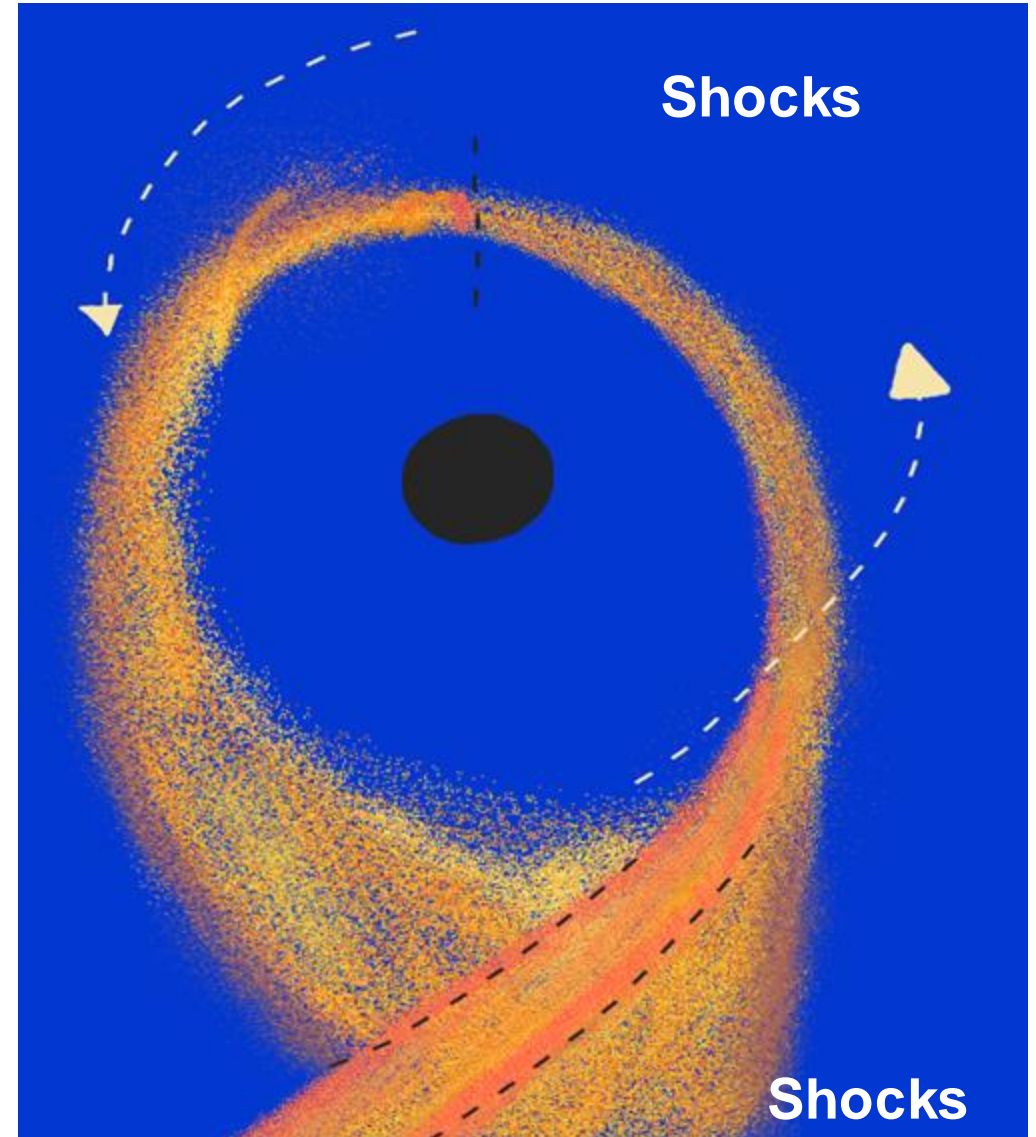
- High-energy neutrino emission is correlated with temporal and spatial emissions across all the multi-messenger
- Tidally disrupted events are one of the potential candidates of high energy neutrinos



- Tidally disrupted events (TDE): Theoretical concept of massive black holes and star system reaching Roche limit
- Main sequence stars of mass  $1 - 10 M_{\odot}$  and black hole mass  $10^6 - 10^{12} M_{\odot}$
- TDE comprises of jet and fallback accretion system



- Multi-messenger properties:
  - Spectral classification by UV - optical color diagram into TDE-H, TDE-H+He, and TDE-He
  - At X-ray and radio energies non-thermal emissions
  - Very high-energy neutrinos of TeV and PeV
  - Gravitational waves candidate up to 10 Hz







Raw 102 TDE samples - Time-independent and time-dependent analysis

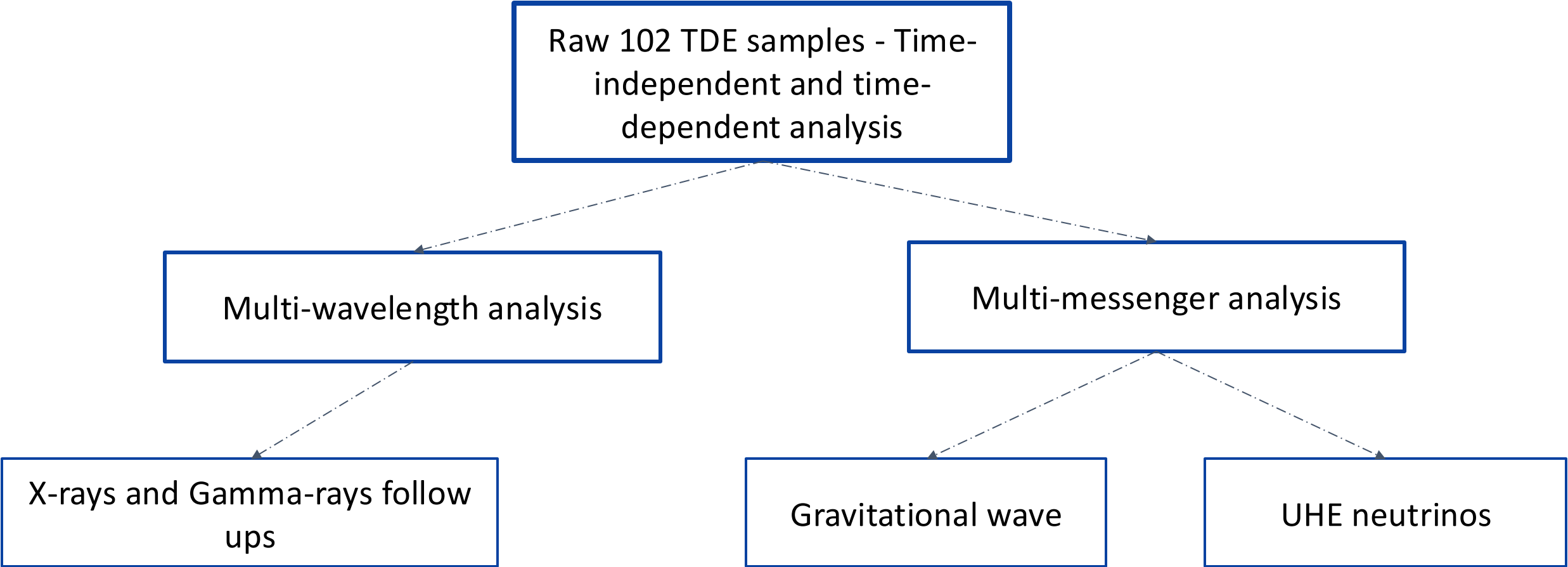
Multi-wavelength analysis

Multi-messenger analysis

X-rays and Gamma-rays follow ups

Gravitational wave

UHE neutrinos





Raw 102 TDE samples - Time-independent and time-dependent analysis

Multi-wavelength analysis

Multi-messenger analysis

Observatory: Space Science Data Center



High-energy neutrinos

Tidally disrupted events

Strategy

